

# Grading Methods for Fruit Freshness Based on Deep Learning

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**Abstract:** Fruit freshness grading is an innate ability of humans. However, there was not much work focusing on creating a fruit grading system based on digital images in deep learning. The algorithm proposed in this article has the potentiality to be employed so as to avoid wasting fruits or save fruits from throwing away. In this article, we present a comprehensive analysis of freshness grading scheme by using computer vision and deep learning. Our scheme for grading is based on visual feature analysis of digital images. Numerous deep learning methods are exploited in this project, including ResNet, VGG, and GoogLeNet. AlexNet is selected as the base network for visual feature extraction, YOLO is selected for extracting the region of interest (ROI) from digital images. Therefore, we construct a novel neural network model for fruit detection and freshness grading regarding multiclass fruit classification. The fruit images are fed into our model for training, AlexNet took the leading position; meanwhile, VGG scheme performed the best in the validation.

**Keywords:** CNN · Deep learning · Fruit freshness grading · YOLO · AlexNet · VGG

## 1 Introduction

Given the significance of foods in our ordinary lives, fruit grading becomes crucial but is time consuming. Grading automatically by using computerised approaches is believed as the solution of this problem, which will save human labour. There is a shred of evidence which shows that when fruit deterioration occurs, fruit goes through a series of biochemical transformation that leads to changes in its physical conditions and chemical composition, e.g., changes in nutrition. By the way, most of these features can be captured.

Fruit grading methods are grouped into two categories: Non-visual and visual approaches. Non-visual grading approaches mainly concentrate on aroma, chemicals, and tactile impression. Fruit spoilage in nature is a biochemical process that natural pigments in various reactions are transformed into other chemicals that result in changes of colours. Identifying fruit spoilage is an innate ability of human perception system. It is regarded as the desirability and acceptance to the consumption of a portion. It assists identifying whether the given fruits are edible or not [1].

The research work unfolds that there exists a strong relationship between bacteria and fruit spoilage, which encompasses aerobic psychrotrophic gram-negative bacteria with the secretion of extracellular hydrolytic enzymes that corrupt plant's cell walls, heterofermentative lactobacilli, spore-forming bacteria, yeasts and moulds. Fruit degeneration is a consequence of biochemical reactions, i.e., a structural acidic heteropolysaccharide grown in terrestrial plant's cell walls, chiefly consisted of galacturonic acid. Starch/amylum and sugar (i.e., polymetric carbohydrates with the same purposes) are then metabolised with produced lactic (i.e., an acid that is a metabolic intermediate as the end product of glycolysis releasing energy anaerobically) and ethanol [2]. Colonising and induced lesions as a result of microbe dissemination are frequently observed, and infestation is a primary reason of spoilage for postharvest fruits [3].

Besides, the lack of nutrients results in the growth of dark spots, e.g., insufficient calcium leads to apple cork spots [4]. The exposure to oxygen is another determinant as an enzyme known as polyphenol

43 oxidase (PPO) triggers a chain of biochemical reactions including proteins, pigments, fatty acids and  
44 lipids, that lead to fading of the fruit colours as well as degrading to an undesirable taste and smell [5].

45 The established research evidence shows that if fruit deterioration occurs, fruit goes through a series  
46 of biochemical transformation that incurs to changes in its physical conditions, e.g., visual features  
47 including colour and shape, most of these features can be extracted. It is affirmed that a computer vision-  
48 based approach is the most economical solution.

49 Previously, scale-invariant feature transform (SIFT) along with colour and shape of fruits [22] has  
50 been offered to fruit recognition. K-nearest neighbourhood (KNN) and support vector machine (SVM)  
51 have been employed for the classification. Despite attaining high accuracy, this approach has input  
52 images with the size  $90 \times 90$ , which is low, the information might be dropped. The low-resolution image  
53 has the implications that individual pixel may have a significant contribution to the final result, which is  
54 dependent on noises for prediction. It is well known that KNN and SVM are vulnerable to the curse of  
55 dimensionality where the growth of feature dimensions will have a massive impact on performance,  
56 meanwhile high-resolution images are likely to have rich visual features.

57 Given the advancement of deep learning, fruit grading algorithms should produce satisfactory  
58 accuracies timely [6][7]. The state-of-the-art technology in computer vision sees the categories in  
59 fruit/vegetable automatic grading [8]: Detections of fruit/vegetable diseases and defects by using foreign  
60 biological invasion [10], fruit/vegetable classification for assorted horticultural products [11], estimation  
61 of fruit/vegetable nitrogen [12], fruit/vegetable object real-time tracking [13], etc. Most scientific  
62 approaches for fruit grading by using pattern classification are classified. Pertaining to fruit quality  
63 grading, the focus is on not only the freshness, but also the overall visual changes. Despite the recent  
64 rise of popularity of deep learning, more than half of the work [14] did not use deep learning methods.

65 Fruit recognition using computer vision and deep learning is an interesting research field [19]. The  
66 delicious golden apples have been graded by using SVM + KNN [20]. However, the research project has  
67 just twofold: Healthy and defect, only one class of fruits was taken into consideration. Another project  
68 was developed for tomato grading [21] by using texture, colour, and shape of digital images. A binary  
69 classification was proposed that fruits are recognised.

70 Deep learning was found useful in identifying conditions of citrus leaves [23], which is extremely  
71 powerful in image recognition for classification [24]. YOLO [25] was adopted for fruit and vegetable  
72 recognition. YOLO is a faster algorithm compared to other approaches, which achieved 40 *fps* (i.e.e.,  
73 frames per second) in videos that are applicable for real-time applications. However, the fruits are  
74 constrained to the conditions when the fruits remain being connected to their biological hosts, e.g.,  
75 hanging on branches. It does not take account of the scenarios where fruits are taken off from trees in the  
76 ongoing process of decaying. VGG was used for fruit recognition [26], the result [26] manifests that  
77 convolutional neural network, when going deep, can achieve high accuracy. In contrast to the previous  
78 one, a shallow convolutional neural network [27] consisting of four convolution and pooling layers was  
79 suggested for feature extraction, followed by two fully connected layers. However, the source images in  
80 this experiment are simple, all fruits are placed ideally at a static position in a pure white background.

81 An automatic grading system was developed for olive by using discrete wavelet transform and  
82 statistical texture features [28]. Another work [29] has addressed raspberry recognition by using deep  
83 learning successfully, namely, a nine-layer neural network consisting of three convolutional and pooling  
84 layers, one input, dense, and output layer.

85 Mandarin decay process is impacted by a disease called *penicillium digitatum*; there is a contribution  
86 [30] dedicated to early detection of this disease by examining decay visual features. The visual elements

87 are captured and processed by a combination of decision trees. However, these experiments only were  
88 conducted based on one class of fruits. Another problem is that the grading mechanism is a classification  
89 model which treats the fruit as being either fresh or rotten/defect. Still, we believe that the decay process  
90 occurs gradually; the final predictive layer should regress the output rather than perform a classification  
91 task.

92 Motivated by the related work, a novel fruit freshness grading method based on deep learning is  
93 proposed in this article. We create a dataset for fruit freshness grading. The dataset is comprised of  
94 selected frames from recorded videos for a dataset having six classes. From data collection, the images  
95 are resized and labelled (regions of interest, object classes, and freshness grades). Four typical  
96 augmentations are used, e.g., adjusted contrast, sharpness, rotation, and added noises. Our experiments  
97 embark on the statistics where visual reflections on the observed objects are discussed, followed by the  
98 implementation of a hierarchical deep learning model: YOLO+Regression-CNN for fruit freshness  
99 grading. This experiment takes into account of four base networks: VGG [15], AlexNet [16], ResNet  
100 [17], and GoogLeNet [18]. The main contributions of this paper are:

- 101 • We propose a new approach to grade fruit freshness. The fruit freshness matters are generally  
102 tackled through pattern classification rather than regression. In this article, the regression of CNN  
103 is applied to fruit freshness grading.
- 104 • A new framework is put forward for fruit freshness grading. We firstly detect and classify a given  
105 fruit as a visual object for freshness grading.
- 106 • We inject noises to fruit images so that the developed model is capable of resisting noises  
107 introduced from real applications.

108 In this article, we narrated the work related to fruit freshness grading in Section 2. Then, our dataset is  
109 described in Section 3, our method is detailed in Section 4, the experimental results are demonstrated in  
110 Section 5. In Section 6, the conclusion of this paper is drawn.

## 112 2 Data Collection

114 Different from the existing work, in this article, we propose deep learning algorithms for fruit freshness  
115 grading. As we already know, deep learning is promising to the freshness grading for multiclass fruits  
116 that will significantly reduces our human labour. In this article, we provide a detailed description of how  
117 we have collected visual data and conducted data augmentation before fruit freshness classification.  
118 Given the novelty of this research project, the fruit data is not available at present, thus, we have to collect  
119 the data by ourselves. We illustrate our process of how we have received the fruit data and provided  
120 empirical evidence on how the dataset accurately represents the fruit freshness.

### 122 2.1 Datasets

124 The collected dataset consists of six classes of fruits: Apple, banana, dragon fruit, orange, pear, and Kiwi  
125 fruits, derived from a vast variety of locations in the images with various noises, irrelevant adjacent  
126 objects and lighting conditions. We firstly analyse the relationship between fruit appearance and  
127 freshness. Fresh apple peel is low in chlorophyll and carotenoid concentrations [31], the spoilage leads  
128 to a gradual degradation of the constituent pigments, that reflect different wavelengths in  
129 spectrophotometry. A ripe banana having bright yellow colour is likely a result of carotenoid  
130 accumulation [32]. The main compositions of orange peels and flesh are pectin, cellulose, and

131 hemicellulose if excluding water that represents 60% - 90% of weights [33, 34], the pigments are mostly  
 132 carotenoids and flavonoids that generate red appearance of oranges. The exotic, aesthetic, and exterior  
 133 look of dragon fruit is comprised of red-violet betacyanins and yellow betaxanthins [35]. The green  
 134 colour of Kiwi fruits is a visual manifestation of chlorophylls if the degrading gives rise to the formation  
 135 of pheophytins and pyropheophytins that render olive-brown colour to the fruit [36]. The green/yellow  
 136 peel of a pear is a result of congregated chlorophylls, once degradation occurs, chlorophylls degenerate  
 137 blue-black pheophytins, pyropheophytins are produced [36].

138 In total, we have collected approximately 4,000 images with each class of fruits around 700. We split  
 139 the dataset into training and validation sets at the ratio of 1: 9 (90% for training and 10% for validating).  
 140 The freshness is graded from 0 to 10.0, with 0 indicating totally rotted (i.e., fruit color and smell are stable  
 141 which will not be worsen anymore, e.g., the fruit is not eatable and should be thrown away) and 10.0 for  
 142 complete freshness shown in Table 1. In this article, we define the particular moment when the fruit is  
 143 harvested as an absolute freshness grade with the number 10.0. However, based on the definition of total  
 144 corruption, there lacks a definitive degree on this matter. From the fruit decay experiments, we see that  
 145 fruit freshness grade is not available, decayed fruits may have fungus and produce toxin. We consider  
 146 the fruits are edible as the primary condition of being recognised.

147 In this project, we invited ten people to participate in the labelling work. We firstly sampled a few  
 148 images (i.e., three images for each class of fruits at different decay stages) and required the participants  
 149 to give their grades. We calculated the mean and standard deviation of the distribution of the proposed  
 150 freshness grades. Regarding the fruit images with significant grade gaps, e.g., the standard deviation is  
 151 higher or equals three, we invited them for a second round of grading and narrowed down the  
 152 disagreement. We kept the labels unchanged if the grades proposed by the participants are close to what  
 153 we initially have labelled. We justify the labels according to participants' recommendations if the initially  
 154 proposed freshness grade is far from the mean. It is assumed that for each image, there is a set of images  
 155 in which the fruits have a similar freshness grade. We grouped the similar images, if the sampled images  
 156 are required to adjust the freshness levels, the associated images will be set accordingly. Table 1 shows  
 157 18 fruit images; the six fruit classes show the decay levels.

158

## 159 2.2 Image Quality Enhancement

160

161 Many of the source images have low quality, e.g., blurred or weak exposure to light. Thus, in this article,  
 162 image enhancement approaches are taken into account to ensure the quality of the images.

163 Given a 3D image  $I(x, y, z)$  with pixel  $v(x, y, z)$ , there exists a contrast factor  $f_{contrast}$  which renders  
 164 a pixel as same as the average pixel intensity of the whole image when  $f_{contrast} = 0$ , and keeps the  
 165 intensity unchanged if  $f_{contrast} = 1$ . The intensity variation increases while  $f_{contrast}$  rises up. The  
 166 relationship between  $f_{contrast}$  and input/output pixel is described as

$$167 \quad v_{x_{new}, y_{new}, z_{new}} = f_{contrast} v_{x, y, z}. \quad (1)$$

168 We denote  $v_{\min i}$  as the minimum value and  $v_{\max i}$  as the maximum value in the input image,  $v_{\min o}$   
 169 and  $v_{\max o}$  are the minimum and maximum intensity in the output image respectively, thus, we have

$$170 \quad v_{x_{new}, y_{new}, z_{new}} = (v_{x, y, z} - v_{\min i}) \times \left( \frac{v_{\max o} - v_{\min o}}{v_{\max i} - v_{\min i}} \right) + v_{\min o}. \quad (2)$$

171 where  $f_{contrast} = 1.2$  is determined as the result of human perceptions to which degree the contrast-  
 172 adjusted images are inclusive of necessary visual features whilst being enhanced enough to render  
 173 granularities that may be easy for neural network training.

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175

176

Table 1: The means and standard deviations for fruit freshness grading

Fruit Images	Fruits	Means	Standard Deviations
	Apple	1.45	0.35
	Apple	5.30	0.75
	Apple	8.20	0.84
	Banana	2.75	0.96
	Banana	6.00	1.05
	Banana	8.15	0.74
	Dragon fruit	3.40	0.86
	Dragon fruit	5.10	0.89
	Dragon fruit	7.8	0.84
	Kiwi fruit	2.50	1.10

	Kiwi fruit	7.25	0.51
	Kiwi fruit	7.70	1.08
	Orange	3.45	1.12
	Orange	5.30	0.95
	Orange	8.45	0.27
	Pear	2.90	0.83
	Pear	5.35	0.53
	Pear	8.45	0.52

177

178 We have our subjective evaluations for the quality of the contrast-based images. In this experiment,  
 179 we found a myriad of photos are blurry. This is reduced through image sharpening. We see that granular  
 180 details are more evident than the image before applying to sharpen. It is believed that sharpened images  
 181 render better visual results. Interpolation and extrapolation are utilized in the sharpening [37]. We thus  
 182 define a filter for image smoothing

183 
$$kernel_{smooth} = \frac{1}{13} \begin{pmatrix} 1 & 1 & 1 \\ 1 & 5 & 1 \\ 1 & 1 & 1 \end{pmatrix}. \quad (3)$$

184 Pertaining to any source image  $I_{source}$ , the convolution result  $I_{smooth}$  is expressed as

185 
$$I_{smooth} = I_{source} * kernel_{smooth}. \quad (4)$$

186 where  $*$  denotes a convolution operator. Similar to that of the contrast process, we define a sharpness  
 187 factor  $f_{sharpen}$ , the derived image  $I_{blend}$  is obtained [38].

188

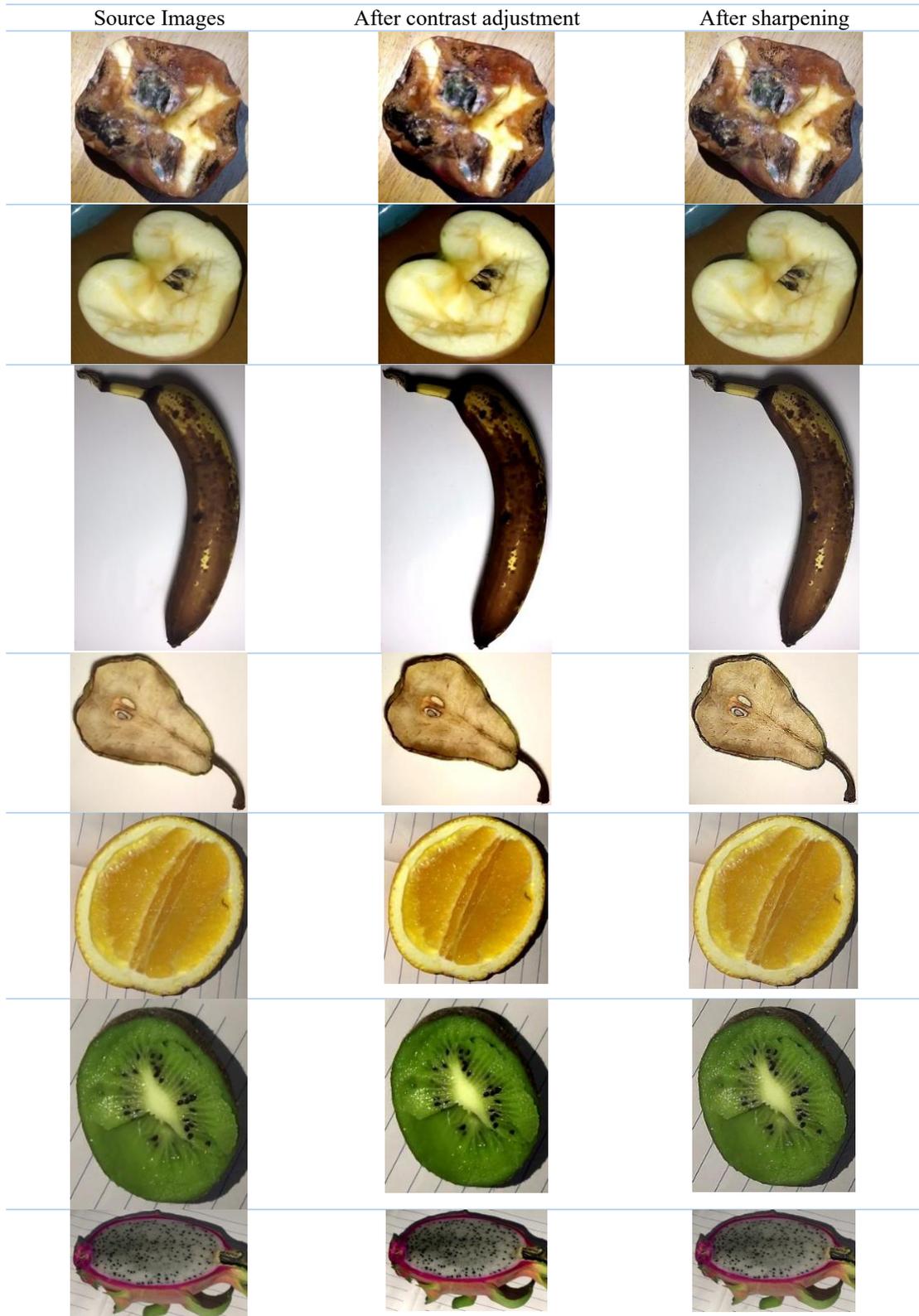
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Table 2: The data augmentation with contrast and sharpening



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198

$$I_{blend} = (1.0 - f_{sharpen})I_{smooth} + f_{sharpen}I_{source} \quad (5)$$

199 where  $f_{sharpen}$  controls the result  $I_{smooth}$  based on the source image  $I_{source}$ . In other words,  $f_{sharpen} =$   
 200 0 renders an image completely blurred under with  $kernel_{smooth}$  while  $f_{sharpen} = 1.0$  keeps the image  
 201 unaltered. The interpolation with  $f_{sharpen} \in (0, 1)$  has the effects after partially blurring the image  
 202  $I_{source}$ , the extrapolation with  $f_{sharpen} \in (1.0, +\infty)$  inverses smoothing to sharpening. Provided that  
 203 decrement of  $f_{sharpen} \in (0, 1)$  renders increasingly blurry effects, as a result of linear extrapolation,  
 204  $f_{sharpen} \in (-\infty, 0)$  blurs multifolds of what single  $kernel_{smooth}$  rendered.

205

## 206 2.3 Image Augmentation

207

208 Image augmentation is a methodology to transform source images into ones with additional information,  
 209 including scaling, rotating, cropping, and adding random noises. We experimented a rich assortment of  
 210 augmentation methods as shown in Table 3. Based on our observations, we decided to consider the  
 211 following augmentation approaches: Rotating and adding random noises. All images are rotated with the  
 212 angle  $120^\circ$ ; we denote an image  $I$  as a 2D matrix with coordinates  $(x, y)$  for pixel value  $v$ ,

$$213 \quad I(x, y) = v_{x,y}. \quad (6)$$

214 We denote a rotation matrix as  $R$ , thus we have

215

$$216 \quad R = \begin{bmatrix} \cos\theta & -\sin\theta \\ \sin\theta & \cos\theta \end{bmatrix}. \quad (7)$$

217 For any  $\theta$  degree rotation, we have

$$218 \quad [x_{new}, y_{new}] = [x, y] \begin{bmatrix} \cos\theta & -\sin\theta \\ \sin\theta & \cos\theta \end{bmatrix}, \quad (8)$$

219 and

$$220 \quad v_{x_{new}, y_{new}} = v_{x,y} \quad (9)$$

221 for each new location  $(x_{new}, y_{new})$  having the same pixel intensity. The new image  $I_{new}$  is

$$222 \quad I_{new}(x, y) = I[x_{new}, y_{new}]. \quad (10)$$

223 The source images are 3D matrices with three colour channels. For an RGB encoded image  $I_{rgb}$  with  
 224  $z = 3$ , the rotation matrix is applied to all three dimensions. All images are supplementary with random  
 225 noises consisting of arbitrary changes of brightness, contrast, saturation, and erosion of ten image regions.  
 226 The added random noises sequentially follow the order: Random brightness adjustment, random contrast  
 227 adjustment, and random erosion filtering for the ten image regions. Given a 3D image  $I(x, y, z)$  and each  
 228 pixel  $v(x, y, z)$ , with a brightness factor  $f_{brightness}(v_{add})$ , where

$$229 \quad f_{brightness}(v_{add}) = \frac{v(x,y,z)+v_{add}}{v(x,y,z)}, \quad (11)$$

230 the level of brightness adjustment is proportion to the pixel value, we have  $f(v_{add}) \in [0.9, 1.5]$ . Thus,

$$231 \quad v_{x_{new}, y_{new}, z_{new}} = f_{contrast} v_{x,y,z}, \quad (12)$$

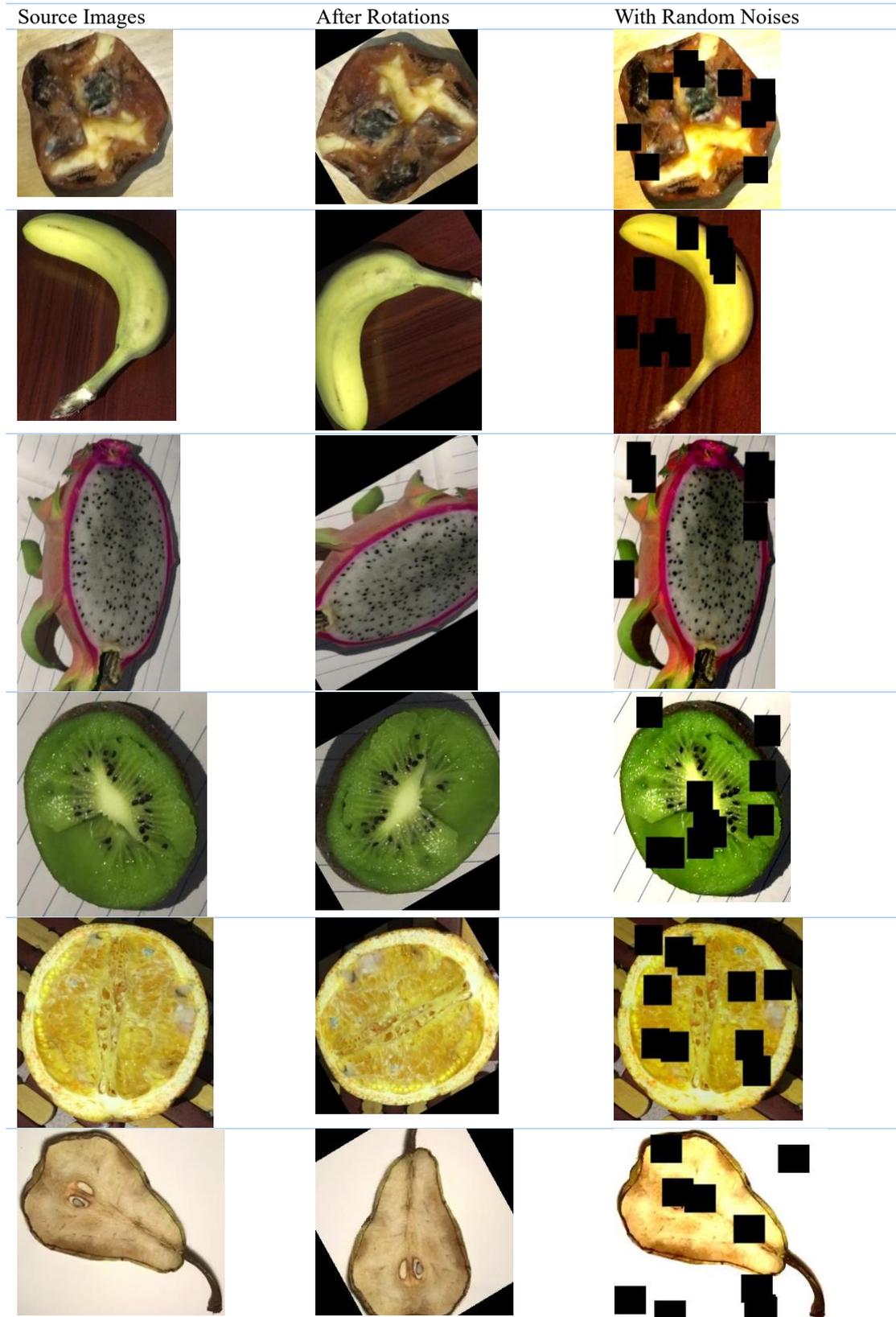
232 where  $f_{contrast}$  denotes the level of contrast of an image. In this article, we set  $f_{contrast} \in [0.9, 1.5]$   
 233 randomly.

234 Randomly removing image regions [38] is an image augmentation that addresses generalisation issues.  
 235 Assume an input image  $I$  with  $w_I$  and  $h_I$  for width and height, we define two integers  $x_{start} \in [0, w_I]$   
 236 and  $y_{start} \in [0, h_I]$  as the starting point  $(x_{start}, y_{start})$ . We define the width and height of a removal  
 237 region in proportion  $r_b$ . In this article, we set  $r_b = 0.15$ . The two points, namely, bottom left

238

239

Table 3: The examples of image augmentations



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241

242  $(x_{start}, y_{start})$  and top right  $(x_{end}, y_{end})$  of the removed region are defined as

243 
$$(x_{start}, y_{start}) = (random(0, w_I), random(0, h_I)) \quad (13)$$

244 
$$(x_{end}, y_{end}) = (x_{start} + r_b w_I, y_{start} + r_b h_I). \quad (14)$$

245

246 The random selection process repeats ten times, the results are shown in Table 3. In summary, the data  
247 preprocessing contains six classes of fruits with various decay grades. Data augmentation is extensively  
248 emphasised in this article. For each image, there are four variants: Sharpened with contrast, rotated with  
249 random noises. There are two classes of labels for fruit objects: Fruit freshness grade and location of a  
250 fruit in a given image of VOC [39].

251

### 252 3 Methods

253

254 In this section, a neural network YOLO for fruit classification as one hierarchical deep learning model is  
255 considered, whose results are fed into a regression CNN for fruit grading. In comparison to the deep  
256 learning method, we firstly treat a linear model based on texture and colour of the images; the relevant  
257 analysis paves the way for explicating the reason why we should implement a deep learning approach.

258

#### 259 3.1 A Linear Proposal

260

261 Simple ambient noises refer to the image background with little distractions, usually plain black or white  
262 colour. In an environment, fruit localisation and freshness grading become easy, as simple pixel-based  
263 manipulation can render satisfactory results. The primary advantage of this project is a fast computation  
264 for fruit grading.

265 In this project, we proposed a simple solution to locate a fruit on a digital image, automatically grade  
266 its freshness based on the texture appearance of the fruit itself. Since most of fruits have distinct  
267 appearances when the background has a plain or pure colour, a simple threshold can be applied to  
268 segment a fruit object from an image. Image regions within the thresholds will be selected while others  
269 are masked. The contour of the selected image regions will be depicted to determine the bounding boxes  
270 for object detection.

271 Denote an image as  $I$  comprising of pixel  $v_{x,y,z}$  where  $x \in [1, width]$ ,  $y \in [1, height]$ ,  $z$  is the  
272 channel, for example, an RGB image has  $z \in [1, 256]$ . We have a binary mask

$$273 \quad mask(x, y, z) = \begin{cases} 1, & v_{x,y,z} \in threshold \\ 0, & otherwise. \end{cases} \quad (15)$$

274 where the *threshold* is the pixel intensity of a fruit image. Pertaining to apples, the most observed  
275 colours are beige and crimson with RGB colours (166, 123, 91) and (220, 20, 60), respectively. Thus,  
276 the colour thresholds are defined as

$$277 \quad threshold_r = [166 \pm 20, 220 \pm 20] \quad (16)$$

$$278 \quad threshold_g = [20 \pm 20, 123 \pm 20] \quad (17)$$

$$279 \quad threshold_b = [60 \pm 20, 91 \pm 20] \quad (18)$$

280 For freshness grading, we treat the brightness and the pixel intensity within a bounding box as the two  
281 conditions. It is believed that generally for a rotten fruit, the number of brown/dark spots grow. This  
282 appearance change results in the increases of pixel intensity and the decreases of brightness. An entropy  
283 for a given image  $I$  with histograms  $h_i$  is

$$284 \quad entropy(I) = -\sum_i (h_i * \log(h_i)). \quad (19)$$

285 For a given image  $I$  with a pixel  $p_i$ , where  $i = 0, 1, \dots, n$ ,  $n$  represents the number of pixels that  
286 comprise the image, we have

$$287 \quad brightness(I) = \frac{1}{n} \sum_i (p_i). \quad (20)$$

288 The freshness is calculated by using eq. (21)

$$289 \quad \text{freshness} = k_e \text{entropy}(I) + k_b \text{brightness}(I) + b, \quad (21)$$

290 where  $k_e$  and  $k_b$  are weight adjustment parameters,  $b$  is the bias. These parameters are determined via  
291 linear regression, assuming a regression output  $y_i$  and a data sample  $x_i$ , where  $x_i$  consists of  $n$  features/  
292 dimensions, thus,

$$293 \quad \hat{y}_i = \beta_0 + \beta_1 x_{i,1} + \beta_2 x_{i,2} + \dots + \beta_n x_{i,n}. \quad (22)$$

294 The loss function for linear regression is

$$295 \quad \text{Loss} = \sum_{i=1}^n (y_i - \hat{y}_i)^2. \quad (23)$$

296 We minimise the loss,

$$297 \quad \vec{\hat{\beta}} = \arg_{\vec{\beta}} \min \text{Loss}(X, \vec{\beta}). \quad (24)$$

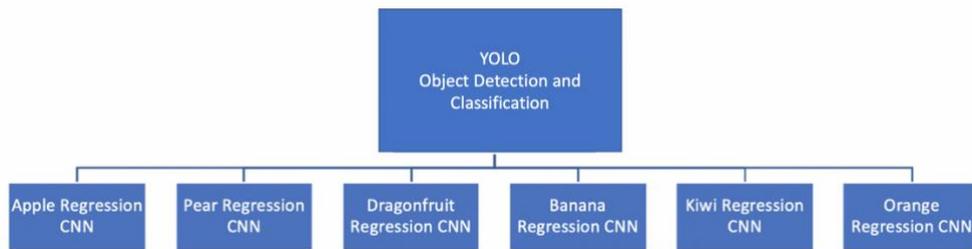
298 Therefore, we have  $\vec{\hat{\beta}} = \{b, -k_e, k_b\}$ . We selected a few fruit images with various decay levels and  
299 calculated the entropy as well as the brightness of the detected bounding box, meanwhile  $k_e$  and  $k_b$  are  
300 determined. We observed the entropy and intensity, adjusted  $k_e$  and  $k_b$  to make the sum of the entropy  
301 and brightness intensity close to the corresponding freshness level.

302

### 303 3.2 A Hierarchical Deep Learning Model

304

305 In this section, we propose a hierarchical deep learning model for fruit freshness classification, whose  
306 results are fed into a second one (regression CNN) for freshness grading. YOLO + Regression CNN is a  
307 hierarchical neural network, whose predictive bounding boxes are fed to the regression CNN for  
308 freshness grading. Regression CNNs are trained for each class of fruits. In this article, we work for the  
309 classification of six classes of fruits; the six regression CNNs are trained. YOLO is used to classify the  
310 class of the object/fruits as well as estimate the bounding box, which locates the visual object on an  
311 image. The corresponding regression has been applied to this class of fruits for freshnessgrading. The  
312 framework is illustrated in Fig. 1, the regression model is shown in Fig. 2, the pipeline of this model is  
313 shown in Fig. 3.



314

315

Fig. 1: YOLO + Regression CNN model

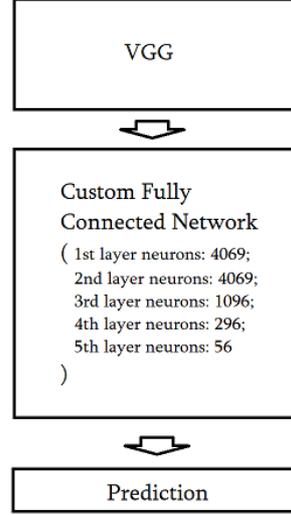


Fig. 2: The customized regression model (VGG)

The source images are fed into YOLO for object recognition, where the central point, width and height of the bounding box are determined. With YOLO prediction, the model maps the predicted class of the detected fruit onto the regression neural network. The detected object in the image is cropped out from its background as the input image to the regression CNN network.



Fig. 3: The pipeline of the proposed hierarchical deep learning model

The YOLO model in this article has the same structure as YOLOv3 [40]. We thus define a set of input data  $D$ , in which we have

$$D = \{I_1, I_2, \dots, I_n\} \quad (25)$$

where  $n$  is the total number of input images,  $I_i$  is the  $i$ -th image,  $i = 1, 2, 3 \dots, n$ . Our input images are encoded using RGB channels. Thus, this defines each image  $I_i$  as three-dimensional and has the same image size. The image  $I_i$  is defined as a 2D matrix

$$I_i = \begin{bmatrix} p_{1,1}, p_{1,2} & \dots & p_{1,w} \\ \vdots & \ddots & \vdots \\ p_{h,1}, p_{h,2} & \dots & p_{h,w} \end{bmatrix}. \quad (26)$$

In order to prevent overfitting, additional random flips are considered, after YOLOv3 takes the source data and starts the computation [40] at the time  $t$ , we have a prediction  $\hat{Y} = \{\hat{y}_1, \hat{y}_2, \dots, \hat{y}_n\}$

$$\hat{Y}(t) = P(\xi_{YOLO}(t)|D) \quad (27)$$

For the YOLO model  $\xi_{YOLO}$  we have a bounding box, the associated object class, and the prediction

$$\hat{y}_i = \{\hat{x}_i, \hat{y}_i, \hat{w}_i, \hat{h}_i, \hat{c}_i\}. \quad (28)$$

According to the predicted class  $\hat{c}_i$ , the anchored box is denoted as  $(\hat{x}_i, \hat{y}_i, \hat{w}_i, \hat{h}_i)$ , the source image  $I_i$  is cropped. The new image is

$$newI_i = crop(I_i, \hat{x}_i, \hat{y}_i, \hat{w}_i, \hat{h}_i) \quad (29)$$

341 where  $\hat{x}_i$  and  $\hat{y}_i$  are the central point of the predicted bounding box, the  $crop(I_i, \hat{x}_i, \hat{y}_i, \hat{w}_i, \hat{h}_i)$  for the  $i$ -  
 342 th image  $I_i$  is expressed as

$$343 \quad crop(I_i, \hat{x}_i, \hat{y}_i, \hat{w}_i, \hat{h}_i) = \begin{bmatrix} p_{\hat{x}_i - \frac{\hat{w}_i}{2}, \hat{y}_i - \frac{\hat{h}_i}{2}} & \cdots & p_{\hat{x}_i + \frac{\hat{w}_i}{2}, \hat{y}_i - \frac{\hat{h}_i}{2}} \\ \vdots & \ddots & \vdots \\ p_{\hat{x}_i - \frac{\hat{w}_i}{2}, \hat{y}_i + \frac{\hat{h}_i}{2}} & \cdots & p_{\hat{x}_i + \frac{\hat{w}_i}{2}, \hat{y}_i + \frac{\hat{h}_i}{2}} \end{bmatrix} \quad (30)$$

344 The cropped image  $newI_i$  is fed into a regression neural network. We thus define the regression neural  
 345 network  $\xi_{reg}(t)$  at the training epoch  $t$ , a cropped image dataset is  $newD = \{$   
 346  $newI_1, newI_2, \dots, newI_m\}$ ,

$$347 \quad \hat{R} = P(\xi_{reg}, \hat{c}_i | newD) \quad (31)$$

348 where  $\hat{R}$  is the result of fruit freshness regression, we have

$$349 \quad \hat{R} = \{r_1, r_2, \dots, r_n\}. \quad (32)$$

350 Hence, the hierarchical model is expressed as

$$351 \quad \hat{Y}(t) = P(\xi_{YOLO}(t), \xi_{reg}(t) | D) \quad (33)$$

352 For each prediction  $\hat{y}_i$ , we have

$$353 \quad \hat{y}_i = \{\hat{x}_i, \hat{y}_i, \hat{w}_i, \hat{h}_i, \hat{c}_i, \hat{r}_i\}. \quad (34)$$

354 In this article, we experimented on four base networks, i.e., AlexNet, VGG, ResNet, and GoogleNet  
 355 for regression based on the six classes of fruits. Each class of fruits likely has unique features distinct  
 356 from others; the extracted features should be processed by using regression convolutional neural network.

357 We offer the base networks AlexNet, VGG, ResNet, GoogLeNet for feature extraction. In the fully-  
 358 connected layers, we modified the number of neurons to fit for our fruit freshness regression. We  
 359 designed additional four-layer for the fully connected neural network.

## 360 4 Experimental Results

361 In this article, our model is constructed hierarchically, consisting of classification and location-oriented  
 362 model (YOLO), a set of regression CNNs targeting each fruit type. Besides, we make a comparison to  
 363 the linear model.

### 364 4.1 The Linear Proposal

365 We calculate the average brightness and entropy for a video frame. Associated the images with  
 366 complicated background noises, the location is very hard to be found, the brightness/entropy approach  
 367 does not converge as expected. The defined freshness function is shown in eq.(35),

$$368 \quad \hat{y} = k_1 J + k_2 B + b, \quad (35)$$

369 where it has not a linear relationship with entropy and brightness of the image. The configurations of a  
 370 linear regressor are shown as:

- 371 •  $k_1$ : -2.7701
- 372 •  $k_2$ : 0.00367
- 373 •  $b$ : 9.0004

374 However, this approach is subject to background noises, even if a minor change of background might  
 375 result in significant errors. During this experiment, we set up different physical backgrounds while  
 376 acquiring an image of the fruits, including lighting conditions and placing adjacent foreign objects.

377 For fresh fruits such as apples and banana, we do observe that there exist correlations between entropy/  
 378 brightness levels and decay stages if the background is set as static. However, for other fruits such as  
 379 kiwi fruits and oranges, this assumption is hardly correct.

380 This preliminary approach through entropy/brightness computations reveals the complexity of fruit

381 freshness grading. Fruits have their own processes of decaying, for each decay characteristic, there is no  
 382 apparent relationship between static visual features (i.e., a set of defined rules of pixel statistics) and  
 383 freshness levels. Based on these discoveries, we decide to treat each class of fruit individually rather than  
 384 a comprehensive approach.

### 385 4.2 YOLO + GoogLeNet

386 In the GoogLeNet, the fruits show multiple levels of regression on grading fruit freshness. Banana is the  
 387 most accurately predicted class of fruits, while Kiwi fruits are the most difficult one. Apple freshness  
 388 grading appears the most unstable one in the validation set. This is able to be traced back to the festering  
 389 features of apples that apples have rich features whilst decaying. For example, they appeared with fungus  
 390 and brown/dark spots, in comparison to other fruits with relatively universal rottenness features, e.g.,  
 391 dragon fruits usually are covered by yellowish dark spots.

392 Table 4: The metrics for evaluating the performance of GoogLeNet

Fruits	MSE		Standard Deviation	
	Training	Validation	Training	Validation
Apple	4.499	4.653	2.082	2.722
Dragon Fruit	2.629	2.926	1.065	1.725
Kiwi fruit	5.810	5.997	1.172	1.430
Pear	4.250	5.958	2.045	1.705
Banana	1.661	1.705	0.967	0.964
Orange	2.905	3.005	0.606	0.451
Average	3.625	4.404	1.323	1.500

393

### 394 4.3 YOLO + AlexNet

395 It is observable that the performance of AlexNet on the six classes of fruits is similar to other base  
 396 network regarding on which class of fruits the regression is prone to deviating from the ground truth.  
 397 Apples, Kiwi fruits, and pears are the three most challenging classes to be regressed, while bananas are  
 398 the most accurate one. Fruits with relatively large errors tend to be less stable in standard deviation during  
 399 regression. This is evident in both training and validation sets of all classes of fruits. The average MSE  
 400 for the six classes of fruits is 3.500 for the training dataset and 4.099 for the validation dataset. In terms  
 401 of regressions, this model generates 1.480 for the training set and 1.248 for the validation set.

402

403 Table 5: The metrics for evaluating the performance of AlexNet

Fruits	MSE		Standard Deviation	
	Training	Validation	Training	Validation
Apple	4.974	4.987	1.687	1.497
Dragon fruit	2.658	2.794	1.247	1.686
Kiwi fruit	4.279	5.664	2.422	0.893
Pear	4.250	5.958	2.045	1.705
Banana	1.696	1.818	0.793	0.892
Orange	3.139	3.368	0.687	0.816
Average	3.500	4.099	1.480	1.248

404

405

406 Table 6: The metrics for measuring the performance of VGG-11

Measurement	MSE		Standard Deviation	
	Training	Validation	Training	Validation
Apple	4.504	4.625	2.038	2.078
Dragonfruit	2.823	3.129	1.374	1.129
Kiwi	5.726	5.670	1.546	1.101
Pear	4.226	5.341	1.717	1.712
Banana	1.796	1.831	0.844	0.607
Orange	2.900	3.012	0.647	0.967
Average	3.665	3.934	1.361	1.266

407

408

Table 7: The metrics for evaluating the performance of ResNet

Measurement	MSE		Standard Deviation	
	Training	Validation	Training	Validation
Apple	4.226	4.374	2.029	2.188
Dragonfruit	2.634	2.815	0.913	0.840
Kiwi	6.034	5.765	1.467	1.417
Pear	3.984	6.507	1.936	4.899
Banana	1.636	1.659	0.984	0.864
Orange	2.982	3.233	0.645	0.847
Average	3.582	4.058	1.329	1.842

409

#### 410 4.4 YOLO + ResNet

411 ResNet-152 is the top net among the ResNet family as well as the deepest one among the ResNets. Again,  
412 ResNet fails to deliver reliable results for three particular classes of fruits: Apples, Kiwi fruits, and pears.  
413 The regression error is largely based on the Kiwi fruit dataset, both on the training and validation sets.  
414 For pears, there exists a possibility of overfitting by using the validation set shows 6.057 while it reports  
415 3.984 by using the training set. Banana freshness grading is the most accurate one. In terms of regression  
416 stability, pears are the least stable while oranges are generally the highest one, judged by using training  
417 and validation sets. On average, the MSE values of training and validation sets for ResNet-152 are 3.582  
418 and 4.058, respectively. For stability measurement, the standard deviation is 1.329 for the training set  
419 and 1.842 for the validation set.

420

#### 421 4.5 YOLO + VGG

422 We tested the VGG-11 model. Again, grading bananas is the most accurate one in freshness grading  
423 regression, whilst classifying apples, kiwis fruits, and pears are the most difficult ones. However, VGG-  
424 11 tends to suffer less from overfitting as indicated in the metrics where the result gaps between the  
425 training and validation sets are small against what we have observed in other base networks. VGG-11  
426 displays high stability in regression, where for apples, Kiwi fruits and pears, both training and  
427 validation sets show robust regression output (hinted in standard deviation). The average training and  
428 validation MSE values are close to the other three base networks, 3.665 and 3.934, respectively. The  
429 standard deviations are 1.361 and 1.266, respectively.

430

#### 431 4.6 Comparisons

432 The four deep learning models have similar performance. By using the training set, AlexNet shows the  
433 best of MSE while VGG eyes the lowest error with the validation set. Table 8 is a summary of the overall

434 proposed model regression performance, measured in MSE.

435

436 Table 8: A summary of performance of the proposed schemes in MSE

	Training Set	Validation Set
Linear Regressor	4.749	5.128
AlexNet	3.500	4.099
GoogleNet	3.625	4.404
VGG	3.665	3.934
ResNet	3.582	4.058

437

## 438 5 Conclusion

439 In this paper, we constructed a linear regression model to detect and measure the fruit freshness features  
440 by judging the darkness of the fruit skin and variations of colour transitions. Accordingly, we affirm that  
441 fruit spoilage occurs with biochemical reactions that result in visual feature fading. Hence, we  
442 propounded a deep learning solution.

443 Deep learning has been used for fruit freshness grading, with the considerations of multiclass of fruits  
444 (i.e., apple, banana, dragon fruit, Kiwi fruit, orange, and pear). We have developed a hierarchical  
445 approach, in which a slew of fruits are detected and classified with real-time object detection, the regions  
446 of interest are cropped from the source images and fed into CNN models for regression, thus the freshness  
447 level is finally graded. We independently trained the convolutional neural network for four renown  
448 models, i.e., GoogLeNet, ResNet, AlexNet, VGG-11. Our experimental results have shown an excellent  
449 performance of deep learning algorithms towards to resolving this problem [9, 42].

450

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