

# Watchman Route in a Simple Polygon with a Rubberband Algorithm

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**Abstract.** So far, the best result in running time for solving the fixed watchman route problem (i.e., shortest path for viewing any point in a simple polygon) is  $\mathcal{O}(n^3 \log n)$ , published in 2003 by M. Dror, A. Efrat, A. Lubiw, and J. Mitchell. This report provides an algorithm with  $\kappa(\varepsilon) \cdot \mathcal{O}(kn)$  runtime, where  $n$  is the number of vertices of the given simple polygon  $\Pi$ , and  $k$  the number of essential cuts;  $\kappa(\varepsilon)$  defines the numerical accuracy in dependency of a selected constant  $\varepsilon > 0$ . Moreover, our algorithm is significantly simpler, easier to understand and implement than previous ones for solving the fixed watchman route problem.

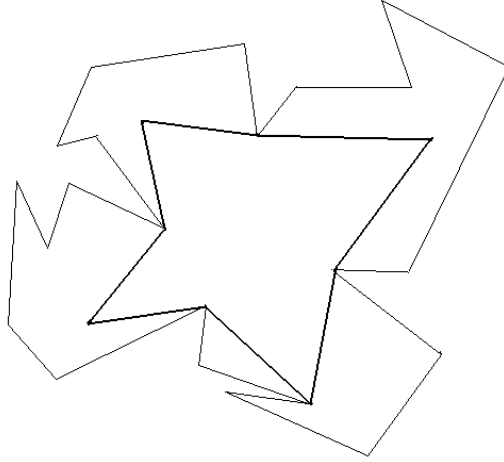
## 1 Introduction

Let  $\Pi$  be a planar, simple, topologically closed polygon with  $n$  vertices. A point  $p \in \Pi$  is *visible* from point  $q \in \Pi$  iff  $pq \subset \Pi$ ; see Figure 1. The *watchman route problem* (WRP) of computational geometry, as discussed in [4], is defined as follows:

*Calculate a shortest route  $\rho \subset \Pi$  such that any point  $p \in \Pi$  is visible from at least one point on  $\rho$ .*

It follows that  $\rho$  is a polygonal path, and this problem is actually equivalent to the task that all points  $p \in \Pi$  are visible just from the vertices of the polygonal path  $\rho$ . If the start point of the route is given on the boundary of  $\Pi$  then this refined problem is known as the *fixed* WRP. In the rest of the report, let  $s$  be the starting point of the fixed WRP.

A simplified WRP (i.e., find a shortest route in a simple isothetic polygon) was solved in 1988 in [17] by presenting an  $\mathcal{O}(n \log \log n)$  algorithm. In 1991, [18] claimed to have presented an  $\mathcal{O}(n^4)$  algorithm, solving the fixed WRP. In 1993, [48] obtained an  $\mathcal{O}(n^3)$  solution for the fixed WRP. In the same year, this was further improved to a quadratic time algorithm [49]. However, four years later, in 1997, [26] pointed out that the algorithms in both [18] and [48] were flawed, but presented a solution for fixing those errors. Interestingly, two years later, in



**Fig. 1.** A watchman route.

1999, [51] found that the solution given by [26] was also flawed! By modifying the (flawed) algorithm presented in [48], [51] gave an  $\mathcal{O}(n^4)$  runtime algorithm for the fixed WRP.

In 1995, [12] proposed an  $\mathcal{O}(n^{12})$  runtime algorithm for the WRP. In the same year, [40] gave an  $\mathcal{O}(n^6)$  algorithm for the WRP. This was improved in 2001 by an  $\mathcal{O}(n^5)$  algorithm in [52]; the report also proved the following

**Theorem 1.** *There is a unique watchman route in a simple polygon, except for those cases where there is an infinite number of different shortest routes, all of equal length.*

So far the best known result for the fixed WRP is due to [22] which presented in 2003 an  $\mathcal{O}(n^3 \log n)$  runtime algorithm.

Given the time complexity of those algorithms for solving the WRP, finding efficient approximation algorithms became an interesting subject. Recall the following definition; see, for example, [28]:

**Definition 1.** *An algorithm is an  $\delta$ -approximation algorithm for a minimization problem  $P$  iff, for each input instance  $I$  of  $P$ , the algorithm delivers a solution that is at most  $\delta$  times the optimum solution.*

In case of the WRP, the optimum solution is defined by the length of the shortest path. In 1995, [34] published an  $\mathcal{O}(\log n)$ -approximation algorithm for solving the WRP. In 1997, [13] gave a 99-98-approximation algorithm with time complexity  $\mathcal{O}(n \log n)$  for the WRP. In 2001, [54] presented a linear-time algorithm for an approximative solution of the fixed WRP such that the length of the calculated watchman route is at most twice of that of the shortest watchman route. The coefficient of accuracy was improved to  $\sqrt{2}$  in [56] in 2004. Most

recently, [57] presented a linear-time algorithm for the WRP for calculating an approximative watchman route of length at most twice of that of the shortest watchman route.

There are several generalizations and variations of watchman route problems; see, for example, [10, 11, 14, 21, 23–25, 31, 36, 39–43]. [1–3] show that some of these problems are NP-hard, and the authors solve them by approximation algorithms.

Let ESP denote the class of any Euclidean shortest path problem. Corresponding to Definition 1, we introduce the following

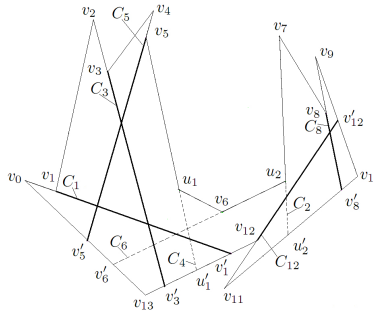
**Definition 2.** *An Euclidean path is an  $\delta$ -approximation (Euclidean) path for an ESP problem iff its length is at most  $\delta$  times the optimum solution.*

This report is organized as follows: Section 2 defines some notations for later usage. Section 3 briefly introduces the original rubberband algorithm to the computational geometry community. Section 4 presents a simple rubberband algorithm. Sections 5 proposes and discusses the main algorithm of the report. Section 6 concludes.

## 2 Basics

Throughout the report,  $\Pi$  is a planar, simple, topologically closed polygon, and  $\partial\Pi$  is its frontier, given by a polygonal path with  $n$  vertices. A vertex  $v$  of  $\Pi$  is called *reflex* if  $v$ 's internal angle is greater than  $180^\circ$ .

We recall some definitions from [22] and [57]. A vertex  $v$  of  $\Pi$  is called *reflex* if  $v$ 's internal angle is greater than  $180^\circ$ . Let  $u$  be a vertex of  $\Pi$  which is adjacent to a reflex vertex  $v$ . Assume that the straight line  $uv$  intersects an edge of  $\Pi$  at  $v'$ . Then the segment  $C = vv'$  partitions  $\Pi$  into two parts.  $C$  is called a *cut* of  $\Pi$  if  $C$  makes a convex vertex at  $v$  in the part containing the starting point  $s$ , and  $v$  is called a *defining vertex* of  $C$ . That part of  $\Pi$  which contains  $s$  is called *essential* part of  $C$  and denoted by  $\Pi(C)$ . The another part of  $\Pi$  is called the *pocket* induced by the cut  $C$ .  $C$  is called the *associated* cut of the pocket.

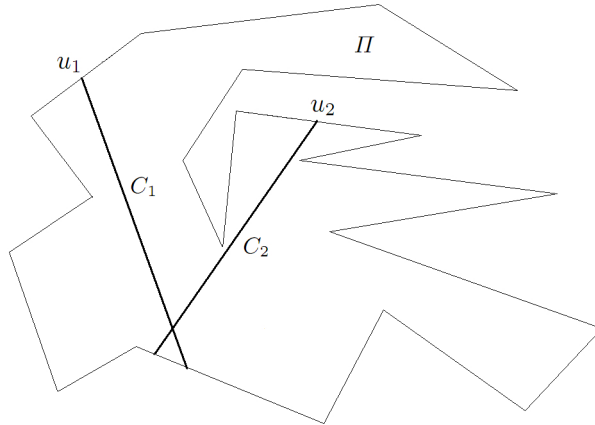


**Fig. 2.** Examples for cuts and essential cuts [57].

A cut  $C$  *dominates* a cut  $C'$  iff  $\Pi(C)$  contains  $\Pi(C')$ . A cut is called *essential* if it is not dominated by another cut. A pocket is called *essential* if it does not contain any other pocket. It is obvious that a pocket is essential if and only if the associated cut of it is essential.

If two points  $u$  and  $v$  are on two different edges of  $\Pi$ , such that the segment  $uv$  partitions  $\Pi$  into two parts, then we say that  $uv$  is a *general cut* of  $\Pi$ . We may arbitrarily select one of both endpoints of the segment  $uv$ , say  $u$ , to be its *start point*. In the rest of the report, for an essential cut  $C$  of  $\Pi$ , we identify the defining vertex of  $C$  with its start point.

If  $C_0, C_1, \dots, C_{k-1}$  are  $k$  general cuts of  $\Pi$  such that their start points are ordered clockwise around  $\partial\Pi$ , then we say that  $C_0, C_1, \dots, C_{k-1}$  and  $\Pi$  *satisfy the condition of the fixed watchman route problem (WRP)*. For example, in Figure 3,  $C_1, C_2$  and  $\Pi$  satisfy the condition of the fixed WRP.



**Fig. 3.** Two general cuts  $C_1, C_2$  and a simple polygon  $\Pi$  which satisfy the condition of the fixed watchman route problem.

Let  $p, q \in \Pi$ ; if  $pq \subset \Pi$  then  $q$  can see  $p$  (with respect to  $\Pi$ ), and  $p$  is a *visible point* of  $q$ .

Let  $q \in \Pi$  and assume a segment  $s \subset \Pi$ . If, for each  $p \in s$ ,  $q$  can see  $p$ , then we say that  $q$  can see  $s$ .

Let  $q \in \Pi$ , segment  $s \subset \Pi$ ,  $p \in s$ , and  $p$  is not an endpoint of  $s$ . Let  $d_e$  be the Euclidean distance. If  $q$  can see  $p$ , but for any sufficiently small  $\varepsilon > 0$ ,  $q$  cannot see  $p'$ , where  $p' \in s$  and  $d_e(p, p') = \varepsilon$ , then we say that  $p$  is a *visible extreme point* of  $q$  (with respect to  $s$  and  $\Pi$ ).

Let segment  $s \subset \Pi$  and  $q \in \Pi \setminus s$ . If there exists a subsegment  $s' \subseteq s$  such that  $q$  can see  $s'$ , and each endpoint of  $s'$  is a visible extreme point of  $q$  or an endpoint of  $s$ , then we say that  $s'$  is a *maximal visible segment* of  $q$  (with respect to  $\Pi$ ).

Let  $s_0, s_1, \dots$ , and  $s_{k-1}$  be  $k$  segments ( $k \geq 2$ ) in three-dimensional Euclidean space (in short:  $3D$ ),  $p \in s_0$ , and  $q \in s_{k-1}$ . Let  $L_S(p, q)$  be the length of the shortest path, starting at  $p$ , then visiting segments  $s_1, \dots$ , and  $s_{k-2}$  in order, and finally ending at  $q$ , where  $S = \langle s_0, s_1, \dots, s_{k-1} \rangle$ . Subscripts related to essential cuts are taken *mod*  $k$  in the following.

Let  $p, q \in \Pi$ . We denote by  $\rho_\Pi(p, q)$  the shortest path from  $p$  to  $q$  inside of  $\Pi$ ;  $L_\Pi(p, q)$  the length of  $\rho_\Pi(p, q)$ .

Let  $\rho$  be a polygonal path and  $V(\rho)$  the set of all vertices of  $\rho$ ;  $|V(\rho)|$  is the number of vertices of  $\rho$ . Denote by  $C(S)$  the convex hull of a set  $S$ . Let  $S_0, S_1, \dots$ , and  $S_{k-1}$  be  $k$  non-empty sets; let

$$S_0 \times S_1 \times \dots \times S_{k-1} = \{(x_0, x_1, \dots, x_{k-1}) : x_0 \in S_0 \wedge x_1 \in S_1 \dots \wedge x_{k-1} \in S_{k-1}\}$$

Denoted by  $\prod_{i=0}^{k-1} S_i$ . If  $S_i = [0, 1]$ , where  $i = 0, 1, 2, \dots, k-1$ , then denote  $\prod_{i=0}^{k-1} S_i$  by  $[0, 1]^k$ .

If an expression is derived from a finite number of polynomials in  $x$  by only applying operations “+”, “−”, “ $\times$ ”, “ $\div$ ”, or “ $\sqrt{\phantom{x}}$ ” finitely often, then we say that this expression is a *simple compound of polynomials* in  $x$ . For example,

$$\sqrt{2x^2 + 1} - \frac{\sqrt{4x^5 - 1}}{\sqrt{3x^4 + 19}}$$

is a simple compound of polynomials in  $x$ .

Let  $f$  be a function, mapping  $\mathbb{R}$  into  $\mathbb{R}$ . If interval  $J \subseteq I$ , then we say that  $J$  is a *subinterval* of interval  $I$ . If  $f$  is monotonous in  $J$ , then we say that  $J$  is a *monotonous interval* of  $f$  in  $I$ . If  $x_0$  satisfies  $f(x_0) = 0$ , and for a sufficiently small numbers  $\delta > 0$  and all  $x_1$  in the interval  $(x_0 - \delta, x_0 + \delta)$ ,  $f(x_1) \neq 0$ , then we say that  $x_0$  is an *isolated solution* of  $f(x)$ . If  $I \subset \mathbb{R}$  is a bounded interval, and for all  $x$  in  $I$ ,  $f(x) = 0$ , then we say that  $I$  is an *interval solution* to  $f(x)$ .

We generalize those two definition for the multi-variable case: Let  $f$  be a function from  $\mathbb{R}^m$  into  $\mathbb{R}$ , for  $m \geq 1$ . At a point  $(x_{1_0}, x_{2_0}, \dots, x_{m_0})$ , assume that  $f(x_{1_0}, x_{2_0}, \dots, x_{m_0}) = 0$ , and for a sufficiently small number  $\delta > 0$  and all  $(x_{1_1}, x_{2_1}, \dots, x_{m_1})$  such that  $i = 1, 2, \dots, m$ , and

$$x_{i_1} \in (x_{i_0} - \delta, x_{i_0} + \delta) \setminus \{x_{i_0}\}$$

we have that  $f(x_{1_1}, x_{2_1}, \dots, x_{m_1}) \neq 0$ ; then point  $(x_{1_0}, x_{2_0}, \dots, x_{m_0})$  is an *isolated solution* of  $f(x_1, x_2, \dots, x_m)$ .

If  $I_1 \subset \mathbb{R}$  is a bounded interval, and for  $i = 2, 3, \dots, m$ ,  $I_i \subset \mathbb{R}$  is a bounded interval or a single point (i.e., a degenerated bounded interval), and for all  $x_{1_1} \in I_1$ , there exists an  $x_{i_1}$  in  $I_i$  such that  $f(x_{1_1}, x_{2_1}, \dots, x_{m_1}) = 0$ , then we say that  $\langle I_1, I_2, \dots, I_m \rangle$  is an *interval solution* to  $f(x_1, x_2, \dots, x_m)$ . We say that  $(x_{1_0}, x_{2_0}, \dots, x_{m_0})$  is an *isolated solution* to the system formed by  $f_j(x_1, x_2, \dots, x_m) = 0$ , for  $j = 1, 2, \dots, m$ , if for any of those  $j$ ,  $(x_{1_0}, x_{2_0}, \dots, x_{m_0})$  is an isolated solution to  $f_j(x_1, x_2, \dots, x_m)$ .

We say that  $\langle I_1, I_2, \dots, I_m \rangle$  is an *interval solution* to the system formed by  $f_j(x_1, x_2, \dots, x_m) = 0$ , for  $j = 1, 2, \dots, m$ , if for any of those  $j$ ,  $\langle I_1, I_2, \dots, I_m \rangle$  is an *interval solution* to  $f_j(x_1, x_2, \dots, x_m)$ .

This ends our introduction of technical terms. We also recall in one place here two results and three algorithms which will be cited later in the report:

**Lemma 1.** ([22], page 475) *A solution to the fixed watchman route problem (i.e., a shortest tour) visits the essential cuts in the same order as the defining vertices meet  $\partial\Pi$ .*

**Theorem 2.** ([57], Theorem 1) *Given a simple polygon  $\Pi$ ; the set  $\mathcal{C}$  of all essential cuts for the watchman route in  $\Pi$  can be computed in  $\mathcal{O}(n)$  time.*

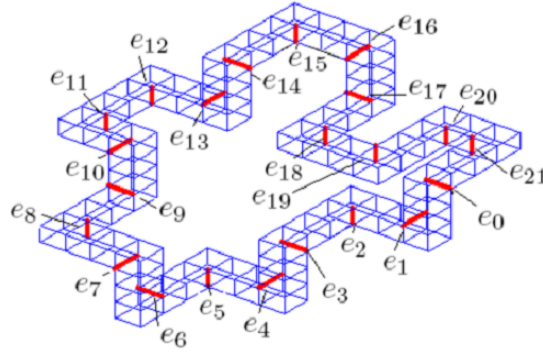
*Algorithm 2D ESP* (see [37], pages 639–641) has as input a simple, topologically closed polygon  $\Pi$  and two points  $p, q \in \Pi$  and calculates a set of vertices of a shortest path from  $p$  to  $q$  inside of  $\Pi$ . Its running time is  $\mathcal{O}(|V(\partial\Pi)|)$ .

We also refer to a *convex hull algorithm* (see, e.g., [35] or Figure 13.7, [30]), which reads an ordered sequence of vertices of a planar simple polygonal curve  $\rho$ , and outputs an ordered sequence of vertices of the convex hull of  $\rho$ ; its running time is  $\mathcal{O}(|V(\rho)|)$ .

We will also use a *tangent calculation* (see [47]), which has as input an ordered sequence of vertices of a planar convex polygonal curve  $\partial\Pi$  and a point  $p \notin \Pi$ ; its output are two points  $t_i \notin \Pi$  such that  $pt_i$  are tangents to  $\partial\Pi$ , for  $i = 1, 2$ ; the running time is  $\mathcal{O}(\log |V(\partial\Pi)|)$ .

### 3 The Origin of Rubberband Algorithms

In this section, we recall the original rubberband algorithm, as defined for regular grids in 3D [9]. The ideas and basic steps of this algorithm will then guide us when solving the WRP. The *original* (or *first*) rubberband algorithm was defined in the context of 3D digital geometry, assuming a regular orthogonal grid in 3D.



**Fig. 4.** Example of a cube-curve which has 22 critical edges.

A *cube-curve*  $g$  is a loop of face-connected grid cubes in a 3D regular orthogonal grid; the union  $\mathbf{g}$  of those cubes defines the *tube* of  $g$ . The original

rubberband algorithm in [9] discusses ESPs in such tubes, which are also called *minimum-length polygonal curves* (MLPs). A *critical edge* of a cube-curve  $g$  is such a grid edge which is incident with exactly three different cubes contained in  $g$ . Figure 4 shows all the critical edges of a cube-curve.

The computation of 3D MLPs was at first published in [7–9, 29], proposing and discussing a *rubberband algorithm*<sup>1</sup>. This *original rubberband algorithm* is also published in the book [30].

Let  $\rho = (p_0, p_1, \dots, p_m)$  be a polygonal curve contained in a tube  $\mathbf{g}$ . Such a curve is *complete* if it intersects with every cube of  $g$ . A polygonal curve  $\xi$  is a *g-transform* of  $\rho$  iff  $\xi$  may be obtained from  $\rho$  by a finite number of steps, where each step is a replacement of a triple  $a, b, c$  of vertices by a polygonal sequence  $a, b_1, \dots, b_k, c$  such that the polygonal sequence  $a, b_1, \dots, b_k, c$  is contained in the same set of cubes of  $g$  as the polygonal sequence  $a, b, c$ .

Assume a polygonal curve  $\rho = (p_0, p_1, \dots, p_m)$  and three pointers addressing vertices at positions  $i-1, i$  and  $i+1$  in this curve. There are three different *options* that may occur, and which define a specific *g-transform*:

( $O_1$ ) Point  $p_i$  can be deleted iff  $p_{i-1}p_{i+1}$  is a line segment within the tube. Then the subsequence  $(p_{i-1}, p_i, p_{i+1})$  is replaced in the curve by  $(p_{i-1}, p_{i+1})$ . In this case, the algorithm continues with vertices  $p_{i-1}, p_{i+1}, p_{i+2}$ .

( $O_2$ ) The closed triangular region  $\triangle(p_{i-1}, p_i, p_{i+1})$  intersects more than just three critical edges of cube-curve  $g$  (i.e., a simple deletion of  $p_i$  would not be sufficient anymore). This situation is solved by calculating a convex arc and by replacing point  $p_i$  by a sequence of vertices  $q_1, \dots, q_k$  on this convex arc between  $p_{i-1}$  and  $p_{i+1}$  such that the sequence of line segments  $p_{i-1}q_1, \dots, q_k p_{i+1}$  lies within the tube. In this case, the algorithm continues with a triple of vertices starting with the calculated new vertex  $q_k$ .

If ( $O_1$ ) and ( $O_2$ ) do not lead to any change, the third option may lead to an improvement (i.e., a shorter polygonal curve which is still contained and complete in the given tube). Here,  $l_e$  denotes the straight line defined by extending an edge  $e$  at both ends to infinity:

( $O_3$ ) Point  $p_i$  may be moved on its critical edge to obtain an optimum position  $p_{new}$  minimizing the total length of both line segments  $p_{i-1}p_{new}$  and  $p_{new}p_{i+1}$ . First, find  $p_{opt} \in l_e$  such that

$$|p_{opt} - p_{i-1}| + |p_{opt} - p_{i+1}| = \min_{p \in l_e} L(p)$$

with  $L(p) = |p - p_{i-1}| + |p - p_{i+1}|$ . Then, if  $p_{opt}$  lies on the closed critical edge  $e$ , let  $p_{new} = p_{opt}$ . Otherwise, let  $p_{new}$  be that vertex bounding  $e$  and lying closest to  $p_{opt}$ .

The authors showed in various previous publications (see, for example, [32]) that the basic idea of ( $O_3$ ) can be generalized to establish a whole class of rubberband algorithms (RBAs) for solving various Euclidean shortest path problems. The main algorithm of the report is also just some kind of adaptation of this original rubberband algorithm.

<sup>1</sup> Not to be confused with a 2D image segmentation algorithm of the same name [33].

## 4 Sequences of Line Segments in 3D

In this section, we present a simple rubberband algorithm which receives as input a finite sequence of line segments in 3D. Later it will be generalized and then becomes the main algorithm; see Section 5. We discuss the simple RBA without degenerate cases in Subsections 4.1 and 4.2, leaving degenerate cases in Subsection 4.3 with which we can simply deal with, without affecting the time complexity of our algorithms.

### 4.1 The Algorithm

The numerical accuracy of results obtained by a rubberband algorithm is controlled by a chosen accuracy constant  $\varepsilon > 0$ . For example, with respect to current computer technology, a constant such as  $\varepsilon = 10^{-15}$  is appropriate. However, whenever  $\varepsilon$  is mentioned, have in mind that its value may further decrease with the progress in computer technology.

**Algorithm 1** (RBA for a sequence of pairwise disjoint 3D line segments)

*Input:* A sequence of  $k$  pairwise disjoint line segments  $S_1, S_2, \dots, S_k$  in 3D; two points  $p, q \notin \bigcup_{i=1}^k S_i$ , and an accuracy constant  $\varepsilon > 0$ .

*Output:* A sequence  $\langle p, p_1, p_2, \dots, p_k, q \rangle$  of an  $[1 + 4(k+1)r(\varepsilon)/L]$ -approximation path which starts at  $p$ , then visits (i.e., passes through) segments  $S_i$  at  $p_i$  in the given order, and finally ends at  $q$ , where  $L$  is the length of an optimal path,  $r(\varepsilon)$  the upper error bound<sup>2</sup> for distances between  $p_i$  and the corresponding optimal vertex  $p'_i$ :  $d_e(p_i, p'_i) \leq r(\varepsilon)$ , for  $i = 1, \dots, k$ , where  $d_e$  denotes the Euclidean distance.

We provide an informal specification of the algorithm. The algorithm consists of two parts: initialization and iteration step. In the initialization part, we select an initial path and calculate its length. For example, take arbitrarily one point in each segment and connect those points into a sequence, to obtain an initial path. We could also take the center or one of the endpoints in each segment. In each iteration cycle, we update all the vertices of the path in sequence: For every three subsequent vertices  $p_{i-1}$ ,  $p_i$  and  $p_{i+1}$  in the path, we consider the first and third vertices,  $p_{i-1}$  and  $p_{i+1}$ , as being fixed and slide  $p_i$  freely in segment  $S_i$  into an optimal point with respect to this local configuration; we update  $p_i$  by replacing it with this newly detected, locally optimal point. That is, we apply

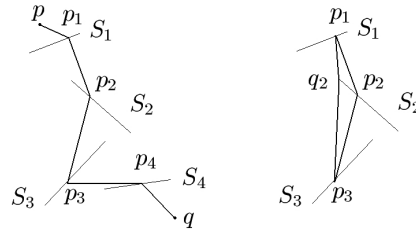
$$d_e(p_{i-1}, p_i) + d_e(p_i, p_{i+1}) = \min\{d_e(p_{i-1}, p) + d_e(p, p_{i+1}) : p \in S_i\} \quad (1)$$

At the end of the iteration cycle we compute the difference between the length of the previous path to that of the current (i.e., updated) path; if this is less than or equals  $\varepsilon$  then this terminates the algorithm. Otherwise, we go to the next iteration cycle.

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<sup>2</sup> It is obvious to see that  $\lim_{\varepsilon \rightarrow 0} r(\varepsilon) = 0$





**Fig. 5.** Illustration for Algorithm 1.

Figure 5 shows on the left an initial path  $\langle p, p_1, p_2, p_3, p_4, q \rangle$  for Algorithm 1; on the right it shows an optimal point  $q_2 \in S_2$  for the given positions of  $p_1$  and  $p_3$ , defining the new position of  $p_2$ . Note that optimal points are not necessarily at endpoint positions.

## 4.2 Proof of Correctness

We have to show that these repeated local optimizations of Algorithm 1 ensure that the calculated path converges against the shortest path.

Let  $s_0, s_1$  and  $s_2$  be three (closed) pairwise disjoint line segments; the two endpoints of  $s_i$  be  $a_i = (a_{i1}, a_{i2}, a_{i3})$  and  $b_i = (b_{i1}, b_{i2}, b_{i3})$ . A point  $p_i \in s_i$ , for  $i = 0, 1, 2$ , may be written as

$$\begin{aligned} p_i(t_i) &= a_i + (b_i - a_i)t_i \\ &= (a_{i1} + (b_{i1} - a_{i1})t_i, a_{i2} + (b_{i2} - a_{i2})t_i, a_{i3} + (b_{i3} - a_{i3})t_i) \end{aligned}$$

where  $t_i \in [0, 1]$ . Let  $d(t_0, t_1, t_2) = d_e(p_1(t_1), p_0(t_0)) + d_e(p_1(t_1), p_2(t_2))$ . Then we have the following

**Corollary 1.**

$$\frac{\partial d(t_0, t_1, t_2)}{\partial t_1} = 0 \quad (2)$$

*implies that  $t_2$  is a simple compound of polynomials of  $t_0$  and  $t_1$ . All the  $t_0, t_1$  and  $t_2$  are in  $[0, 1]$ .*

*Proof.* The formula

$$d_e(p_1(t_1), p_0(t_0)) = \sqrt{\sum_{i=1}^3 \{[a_{1i} + (b_{1i} - a_{1i})t_1] - [a_{0i} + (b_{0i} - a_{0i})t_0]\}^2} \quad (3)$$

can be simplified: Without loss of generality, we can assume that  $s_1$  is parallel to one of the three coordinate axes. It follows that only one element of the set  $\{b_{1i} - a_{1i} : i = 1, 2, 3\}$  is not equal to 0, and the other two are equal to 0. Thus,

we can assume that the expression inside the square root in Equation (3) can be written as

$$\begin{aligned} & \sum_{i=1}^3 \{[a_{1_i} + (b_{1_i} - a_{1_i})t_1] - [a_{0_i} + (b_{0_i} - a_{0_i})t_0]\}^2 \\ &= \{[a_{1_1} + (b_{1_1} - a_{1_1})t_1] - [a_{0_1} + (b_{0_1} - a_{0_1})t_0]\}^2 \\ & \quad + \{[a_{1_2} + (b_{1_2} - a_{1_2})t_1] - [a_{0_2} + (b_{0_2} - a_{0_2})t_0]\}^2 \\ & \quad + \{[a_{1_3} + (b_{1_3} - a_{1_3})t_1] - [a_{0_3} + (b_{0_3} - a_{0_3})t_0]\}^2 \end{aligned}$$

Thus, we have that

$$d_e(p_1, p_0) = |A_1| \sqrt{(t_1 + B_0 t_0 + C_0)^2 + D_0 t_0^2 + E_0 t_0 + F_0}$$

where  $A_1$  is a function of  $a_{1_i}$  and  $b_{1_i}$ ;  $B_0, C_0, D_0, E_0$  and  $F_0$  are functions of  $a_{0_i}, b_{0_i}, a_{1_i}$  and  $b_{1_i}$ , for  $i = 0, 1, 2$ . – Analogously, we have that

$$d_e(p_1, p_2) = |A_1| \sqrt{(t_1 + B_2 t_2 + C_2)^2 + D_2 t_2^2 + E_2 t_2 + F_2}$$

where  $B_2, C_2, D_2, E_2$  and  $F_2$  are functions of  $a_{1_i}, b_{1_i}, a_{2_i}$  and  $b_{2_i}$  for  $i = 0, 1, 2$ . By Equation (2) or the following,

$$\frac{\partial(d_e(p_1, p_0) + d_e(p_1, p_2))}{\partial t_1} = 0$$

we have that

$$\begin{aligned} & \frac{t_1 + B_0 t_0 + C_0}{\sqrt{(t_1 + B_0 t_0 + C_0)^2 + D_0 t_0^2 + E_0 t_0 + F_0}} \\ & + \frac{t_1 + B_2 t_2 + C_2}{\sqrt{(t_1 + B_2 t_2 + C_2)^2 + D_2 t_2^2 + E_2 t_2 + F_2}} = 0 \end{aligned}$$

This equation can be written as

$$A t_2^2 + B t_2 + C = 0$$

where  $A, B$ , and  $C$  are polynomials of  $t_0, t_1$  (and  $a_{0_i}, b_{0_i}, a_{1_i}, b_{1_i}, a_{2_i}$  and  $b_{2_i}$  for  $i = 0, 1, 2$ ). To keep  $t_2$  inside of  $[0, 1]$ , let  $t_2 = 0$  if we have to satisfy  $t_2 < 0$ ; and let  $t_2 = 1$  if we have to satisfy  $t_2 > 1$ . This proves the corollary.  $\square$

Analogously, we have

**Corollary 2.** *Equation (2) uniquely implies that  $t_1$  is a continuous function in  $t_0$  and  $t_2$ .*

*Proof.* We may translate two points  $p_0(t_0)$  and  $p_2(t_2)$ , and line segment  $s_1$  such that the endpoint  $a_1$  of  $s_1$  is identical to the origin. Then rotate  $p_0(t_0), p_2(t_2)$ , and  $s_1$  such that the other endpoint  $b_1$  of  $s_1$  is (also) on the  $x$ -axis. Let  $p_0(t_0)$

$= (p_{0_1}, p_{0_2}, p_{0_3})$ ,  $p_2(t_0) = (p_{2_1}, p_{2_2}, p_{2_3})$ . After translation and rotation, we have that  $a_1 = (0, 0, 0)$  and  $b_1 = (b_{1_1}, 0, 0)$ . Thus,  $p_1(t_1) = (b_{1_1}t_1, 0, 0)$ , and

$$d_e(p_1, p_0) = \sqrt{(b_{1_1}t_1 - p_{0_1})^2 + p_{0_2}^2 + p_{0_3}^2}$$

$$d_e(p_1, p_2) = \sqrt{(b_{1_1}t_1 - p_{2_1})^2 + p_{2_2}^2 + p_{2_3}^2}$$

Equation (2) is equivalent to

$$\frac{\partial(d_e(p_1, p_0) + d_e(p_1, p_2))}{\partial t_1} = 0$$

From this we obtain that

$$\frac{b_{1_1}t_1 - p_{0_1}}{\sqrt{(b_{1_1}t_1 - p_{0_1})^2 + p_{0_2}^2 + p_{0_3}^2}} + \frac{b_{1_1}t_1 - p_{2_1}}{\sqrt{(b_{1_1}t_1 - p_{2_1})^2 + p_{2_2}^2 + p_{2_3}^2}} = 0$$

This equation has a unique solution

$$t_1 = \frac{p_{0_1}\sqrt{p_{2_2}^2 + p_{2_3}^2} + p_{2_1}\sqrt{p_{0_2}^2 + p_{0_3}^2}}{b_{1_1}(\sqrt{p_{2_2}^2 + p_{2_3}^2} + \sqrt{p_{0_2}^2 + p_{0_3}^2})}$$

Again, to keep  $t_2$  inside of  $[0, 1]$ , let  $t_2 = 0$  if we have to satisfy  $t_2 < 0$ ; and let  $t_2 = 1$  if we have to satisfy  $t_2 > 1$ . This proves the corollary.  $\square$

Let  $s_0, s_1, \dots$ , and  $s_{k+1}$  be  $k+2$  (closed) line segments. Let the two endpoints of  $s_i$  be  $a_i = (a_{i_1}, a_{i_2}, a_{i_3})$  and  $b_i = (b_{i_1}, b_{i_2}, b_{i_3})$ . Points  $p_i \in s_i$ , for  $i = 0, 1, 2, \dots, k+1$ , can be written as follows:

$$\begin{aligned} p_i(t_i) &= a_i + (b_i - a_i)t_i \\ &= (a_{1_1} + (b_{1_1} - a_{1_1})t_i, a_{1_2} + (b_{1_2} - a_{1_2})t_i, a_{1_3} + (b_{1_3} - a_{1_3})t_i) \end{aligned}$$

where  $t_i \in [0, 1]$ .

Let

$$d(t_0, t_1, t_2, \dots, t_{k+1}) = \sum_{i=0}^k d_e(p_i(t_i), p_{i+1}(t_{i+1})) \quad (4)$$

Assume that both  $s_0$  and  $s_{k+1}$  degenerate into single points  $p$  and  $q$ . Then we have that  $t_0 = t_{k+1} = 0$ . We also have the following

**Corollary 3.** For each  $i \in \{1, 2, \dots, k\}$ ,

$$\frac{\partial d(t_0, t_1, t_2, \dots, t_k, t_{k+1})}{\partial t_i} = 0 \quad (5)$$

is equivalent to

$$\frac{\partial d(t_{i-1}, t_i, t_{i+1})}{\partial t_i} = 0 \quad (6)$$

where  $t_1, t_2, \dots, t_k$  are in  $[0, 1]$ .

Note that Equation (5) is related to a global minimum property of the Euclidean path  $\langle p, p_1, p_2, \dots, p_k, q \rangle$  while Equation (6) is related to a local minimum property of the same path. Therefore, Corollary 3 describes a relationship between global and local minimum properties of the same path.

**Corollary 4.** *The equational system formed by Equation (5) (where  $i = 1, 2, \dots, k$ ) implies a unary equation  $f(t_1) = 0$  which has only a finite number of isolated or interval solutions in  $[0, 1]$ .*

*Proof.* By Corollary 3 and Corollary 1,  $t_{i+1}$  is a simple compound of polynomials in  $t_{i-1}$  and  $t_i$ , denoted by  $t_{i+1} = f_i(t_{i-1}, t_i)$ . Thus, the system formed by Equation (5) (where  $i = 1, 2, \dots, k$ ) implies an equational system formed by  $t_2 = f_2(t_0, t_1)$ ,  $t_3 = f_3(t_1, t_2)$ ,  $t_4 = f_4(t_2, t_3)$ ,  $\dots$ ,  $t_k = f_k(t_{k-2}, t_{k-1})$ , and  $t_{k+1} = f_{k+1}(t_{k-1}, t_k)$ . Now note that  $t_0 = t_{k+1} = 0$ . Therefore,  $f(t_1)$  is a simple compound of polynomials in  $t_1$ . Note that function  $f(t_1)$  has only a finite number of monotonous intervals in  $[0, 1]$ , and  $f(t_1)$  is differentiable in each of those monotonous intervals. Thus,  $f(t_1)$  can be approximately expressed as a linear function in a finite number of monotonous subintervals in  $[0, 1]$ . Therefore, Function  $f(t_1)$  has only a finite number of isolated or interval solutions in  $[0, 1]$ . This proves the corollary.  $\square$

**Corollary 5.** *Algorithm 1 defines a continuous function  $f_{RBA}(p_1, p_2, \dots, p_k)$  in  $\prod_{i=1}^k S_i = S_1 \times S_2 \times \dots \times S_k$ , or a function  $f_{RBA}(t_1, t_2, \dots, t_k)$  in  $\prod_{i=1}^k I_i = I_1 \times I_2 \times \dots \times I_k = [0, 1]^k$ . And  $f_{RBA}(t_1, t_2, \dots, t_k)$  has only a finite number of values.*

*Proof.* For each  $(p_1, p_2, \dots, p_k) \in \prod_{i=1}^k S_i$  or each  $(t_1, t_2, \dots, t_k) \in [0, 1]^k$ , Algorithm 1 outputs the vertices of an approximate path. It can also output the length of the approximate path, which is a positive real. In this way, Algorithm 1 defines a mapping from  $\prod_{i=1}^k S_i$  to  $\mathbb{R}$ , or from  $[0, 1]^k$  into  $\mathbb{R}$ . By Corollary 2, and because Algorithm 1 will terminate after a finite number of steps, thus,  $f_{RBA}(t_1, t_2, \dots, t_k)$  is continuous in its domain  $[0, 1]^k$ .

To prove the second conclusion of the corollary, it is sufficient to prove that for each interval solution  $J$  to the equational system formed by Equation (5) (where  $i = 1, 2, \dots, k$ ), the following function

$$f_{RBA}(t_1, t_2, \dots, t_k) : J \rightarrow \mathbb{R}$$

has only a finite number of values. Suppose that  $f(t_1) \equiv 0$ , where  $t_1$  is in an interval  $I \subseteq [0, 1]$ , and  $f(t_1)$  is defined as in Corollary 4. By Corollary 4,  $d(t_0, t_1, t_2, \dots, t_{k+1})$  implies a unary length function  $L(t_1)$ , where  $t_1$  is in an interval  $I' \subseteq I$ ,  $d(t_0, t_1, t_2, \dots, t_{k+1})$  is defined as in Equation (4), and

$$\frac{d[L(t_1)]}{dt_1} \equiv 0$$

( $t_1 \in I' \subseteq I$ ). This implies that the length function  $L(t_1) \equiv \text{constant}$ , where  $t_1 \in I' \subseteq I$ . Thus, function

$$f_{RBA}(t_1, t_2, \dots, t_k) : J \rightarrow \mathbb{R}$$

has only a finite number of values. We have proven the corollary.  $\square$

**Theorem 3.** *If the chosen accuracy constant  $\varepsilon$  is sufficiently small, then, for any initial path, Algorithm 1 outputs a unique  $[1+4(k+1) \cdot r(\varepsilon)/L]$ -approximation path.*

*Proof.* By Corollary 5, Algorithm 1 defines a function  $f_{RBA}(p_1, p_2, \dots, p_k)$  in  $\prod_{i=1}^k S_i$  which is continuous and only maps into a finite number of positive real numbers (i.e., the lengths of paths), for any points  $p_1, p_2, \dots, p_k$  sampled in  $\prod_{i=1}^k S_i$ . Therefore,  $f_{RBA}(p_1, p_2, \dots, p_k)$  must be a singleton.

For each  $i \in \{1, 2, \dots, k-1\}$ , the error of the difference between  $d_e(p_i, p_{i+1})$  and  $d_e(v_i, v_{i+1})$  is at most  $4 \cdot r(\varepsilon)$  because of  $d_e(p_i, v_i) \leq r(\varepsilon)$ . Let  $p = p_0 = v_0$  and  $q = p_{k+1} = v_{k+1}$ . We obtain that

$$L \leq \sum_{i=0}^k d_e(p_i, p_{i+1}) \leq \sum_{i=0}^k [d_e(v_i, v_{i+1}) + 4r(\varepsilon)] = L + 4(k+1)r(\varepsilon) \quad (7)$$

Thus, the output path is an  $[1 + 4(k+1) \cdot r(\varepsilon)/L]$ -approximation path. This proves the theorem.  $\square$

*Note 1.* In the proof of Theorem 3, it is possible to find the explicit expression for  $r(\varepsilon)$ . And it is obvious that  $\lim_{\varepsilon \rightarrow 0} r(\varepsilon) = 0$ . Therefore, unlikely the approximation algorithms mentioned in Section 1, Algorithm 1 could have very high accuracy.

Based on

**Proposition 1.** (see [20, 46, 59]) *The shortest path from  $p$  to  $q$ , which passes through the interior points of a sequence  $\langle S_1, S_2, \dots, S_k \rangle$  of line segments in the given order, is unique.*

and also based on our experiments we conclude that the equational system formed by Equation (5) (where  $i = 1, 2, \dots, k$ ) has only isolated solutions in  $[0, 1]$ .

We implemented <sup>3</sup> Algorithm 1, and were running the program several thousands of times. For each run we took a random configuration of line segments  $S_1, S_2, \dots, S_k$ .

For example, Table 1 shows the results for three random configurations of 5,000 line segments. Each column summarizes results corresponding to one configuration. For each configuration of line segments, we ran Algorithm 1 fifty times with 50 random initial paths when starting the program. The table shows that for each configuration, although the lengths of initial paths are different, the lengths of final paths are approximately identical.

<sup>3</sup> The source code can be downloaded at [www.mi.auckland.ac.nz/](http://www.mi.auckland.ac.nz/); follow link MI-tech Reports.

<i>min iterations</i>	2039	2888	2133
<i>max iterations</i>	3513	3243	8441
<i>min run time</i>	44·11 s	62·922 s	47·188 s
<i>max run time</i>	77·657 s	70·094 s	187·672 s
<i>min initial length</i>	827430	822952	822905
<i>max initial length</i>	846928	841860	839848
<i>min final length</i>	516994·66273890162	513110·99723050051	512768·28438387887
<i>max final length</i>	516994·66273896693	513110·99723056785	512768·28457121132

**Table 1.** Three examples of experimental results, for three randomly generated sequences of 5,000 line segments in 3D space.

### 4.3 A Degenerate Case

In this section we study a degenerate case: when applying ( $O_3$ ) of the original rubberband algorithm (see Section 3), assume that at least two vertices of the obtained updated polygonal path are identical. In this case, RBAs may not work properly. Unfortunately, this may actually occur sometimes when working with RBAs, and we show (one possible way) how to handle such degenerate cases.

Having an option for dealing with such degenerate cases, we may even remove “pairwise disjoint” from the input conditions for the 3D line segments in Algorithm 1. The more general algorithm is now as follows:

**Algorithm 2** (RBA for a sequence of arbitrary 3D line segments)

*Input:* A sequence of  $k$  line segments  $S_1, S_2, \dots, S_k$  in 3D; two points  $p$  and  $q$  which are both not in  $\bigcup_{i=1}^k S_i$ , and an accuracy constant  $\varepsilon > 0$ .

*Output:* Exactly the same as for Algorithm 1.

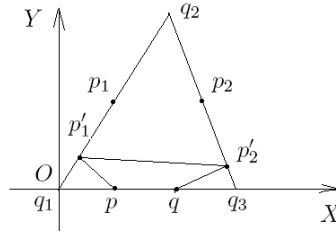
However, before specifying this algorithm, we discuss at first three examples, which will help to understand the issue of degenerated cases, and will then be used to motivate this modified RBA.

*Example 1.* Let the input for Algorithm 2 be as follows (see also Figure 6):

$$S_1 = q_1q_2, S_2 = q_2q_3, q_1 = (0, 0), q_2 = (2, 4), q_3 = (3, 0), p = (1, 0), \text{ and } q = (2, 0).$$

To initialize, let  $p_1$  and  $p_2$  be the centers of  $S_1$  and  $S_2$ , respectively [i.e.,  $p_1 = (1, 2)$ , and  $p_2 = (2.5, 2)$ ]. We obtain that the length of the initialized polyline  $\rho = \langle p, p_1, p_2, q \rangle$  is equal to 5.5616. Algorithm 2 finds the shortest path  $\rho = \langle p, p'_1, p'_2, q \rangle$  where  $p'_1 = (0.3646, 0.7291)$ ,  $p'_2 = (2.8636, 0.5455)$  and the length of it is equal to 4.4944 (see Table 2, which lists resulting  $\delta$ s for the number  $t$  of iterations).

*Example 2.* Now we modify Example 1 such that  $p_1 = p_2 = q_2$ ; in this case, the output of Algorithm 2 will be false: the calculated path equals  $\rho = \langle p, p'_1, p'_2, q \rangle$ , where  $p'_1 = q_2$  and  $p'_2 = q_2$ , and its length equals 8.1231.



**Fig. 6.** Illustration of a degenerate case of a rubberband algorithm.

$t$	$\delta$
1	-0.8900
2	-0.1752
3	-0.0019
4	-1.2935e-005
5	-8.4435e-008
6	-5.4930e-010
7	-3.5740e-012

**Table 2.** Number  $t$  of iterations and resulting  $\delta$ s, for Example 1, illustrated by Figure 6, with  $p_1 = (1, 2)$  and  $p_2 = (2.5, 2)$  as initialization points.

We call a situation as in the previous example a *degenerate case* when applying a rubberband algorithm. In general, it is defined by the occurrence of at least two identical vertices of the updated polygonal path. Such a degenerate case causes Algorithm 1 to fail.

A degenerate case can be solved approximately: we will not allow that a case  $p_2 = q_2$  is happening. To do so, we can remove sufficiently small endsegments from both segments  $S_1$  and  $S_2$ . The following example shows how to handle such a degenerate case.

*Example 3.* We modify the initialization step of Example 2 as follows: Let the accuracy be

$$\varepsilon = 1.0 \times 10^{-100}$$

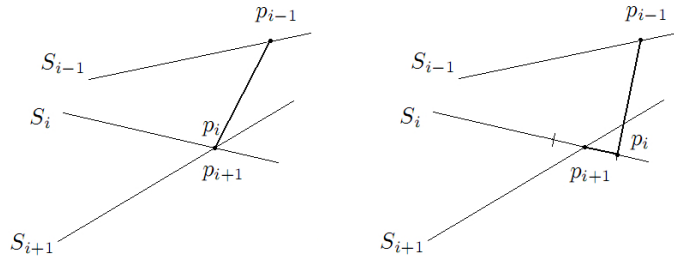
and let

$$\begin{aligned} \delta' &= 2.221 \times 10^{-16} \\ x_1 &= 2 - \delta' \quad \text{and} \quad y_1 = 2 \times x_1 \\ x_2 &= 2 + \delta' \quad \text{and} \quad y_2 = -4 \times (x_2 - 3) \\ p_1 &= (x_1, y_1) \quad \text{and} \quad p_2 = (x_2, y_2) \end{aligned}$$

The length of the initialized polyline  $\rho = \langle p, p_1, p_2, q \rangle$  is equal to 8.1231. Algorithm 2, to be defined below, will calculate the shortest path  $\rho = \langle p, p'_1, p'_2, q \rangle$ , where  $p'_1 = (0.3646, 0.7291)$  and  $p'_2 = (2.8636, 0.5455)$ , and its length equals 4.4944 (see Table 3 for resulting  $\delta$ s in dependence of the number  $I$  of iterations).

$t$	$\delta$	$t$	$\delta$	$t$	$\delta$	$t$	$\delta$
1	-5.4831e-007	7	-1.2313	13	-7.0319e-010	19	8.8818e-016
2	-6.2779e-006	8	-2.0286	14	-4.5732e-012	20	8.8818e-016
3	-7.7817e-005	9	-0.2104	15	-3.0198e-014	21	-8.8818e-016
4	-9.6471e-004	10	-0.0024	16	-8.8818e-016	22	8.8818e-016
5	-0.0119	11	-1.6550e-005	17	8.8818e-016	23	-8.8818e-016
6	-0.1430	12	-1.0809e-007	18	-8.8818e-016	24	0

**Table 3.** Number  $t$  of iterations and resulting  $\delta$ s, for the example shown in Figure 6, with  $p_1 = (2 - \delta', 2(2 - \delta'))$  and  $p_2 = (2 + \delta', -4((2 + \delta') - 3))$  as initialization points and  $\delta' = 2.221\text{e-}16$ .



**Fig. 7.** Handling a degenerate case of a rubberband algorithm.

Of course, if we leave the accuracy to be  $\varepsilon = 1.0 \times 10^{-10}$ , then the algorithm will stop sooner, after less iterations. For example, the algorithm was implemented on a Pentium 4 PC using Matlab 7.04. If we change the value of  $\delta'$  into

$$\delta' = 2.22 \times 10^{-16}$$

then we obtain the same false result as that of Example 1. This is because this particular implementation was not able to recognize a difference between  $x_1$  and  $x_1 \mp 2.22 \times 10^{-16}$ . However, for practical applications in general, the value

$$\delta' = 2.221 \times 10^{-16}$$

should be small or accurate enough for this implementation.

We summarize the method for handling a degenerate case with the modified rubberband algorithm (thus further preparing for defining Algorithm 2):

Let  $S_{i-1}$ ,  $S_i$  and  $S_{i+1}$  be three continuous segments in the input such that  $S_i \cap S_{i+1} \neq \emptyset$ . Assume that  $p_{i-1}$ ,  $p_i$  and  $p_{i+1}$  are three continuous vertices of the updated polygonal path such that  $p_i$  and  $p_{i+1}$  are identical (see left of Figure 7). Let  $\varepsilon_2$  be a sufficiently small positive number. There are at most two possible points  $p$  in  $S_i$  such that  $d_e(p, p_{i+1}) = \varepsilon_2$ . Select one such point  $p$  such that  $d_e(p, p_i) + d_e(p, p_{i+1})$  is smaller, and update the polygonal path by



letting  $p_i = p$  (see right of Figure 7). We say that  $p_i$  is  $\varepsilon_2$ -transformed to be  $p$  in  $S_i$ . Analogously to the explanation of Equation (7), the total error of this  $\varepsilon_2$ -transform is  $4(k-1)\varepsilon_2$ , for handling the degenerate case, and  $\varepsilon_2$  is called a chosen *degeneration accuracy constant*.

To finalize this section, we provide a pseudo code of Algorithm 2 which also handles degenerate cases as discussed above. Let  $p_0 = p$  and  $p_{k+1} = q$ . The output of this algorithm is a sequence  $\langle p, p_1, p_2, \dots, p_k, q \rangle$  of an  $\{1 + 4[(k+1)r(\varepsilon) + (k-1)\varepsilon_2]/L\}$ -approximation path which starts at  $p$ , then visits segments  $S_i$  at  $p_i$  in the given order, and finally ends at  $q$ , where  $L$  and  $r(\varepsilon)$  are defined as in Algorithm 1, and  $\varepsilon_2$  is a chosen degenerative accuracy constant. The pseudo code is listed below:

**Algorithm 3** (RBA for a sequence of arbitrary 3D line segments)

*Input:* A sequence of  $k$  line segments  $S_1, S_2, \dots, S_k$  in 3D; two points  $p$  and  $q$  which are both not in  $\bigcup_{i=1}^k S_i$ , an accuracy constant  $\varepsilon > 0$ , and a degeneration accuracy constant  $\varepsilon_2 > 0$ .

*Output:* Modified from the output of Algorithm 1 (see Section 4.3).

- 1: For each  $i \in \{1, 2, \dots, k\}$ , let  $p_i$  be the center of  $S_i$  such that  $p_i$  (if  $S_i \cap S_{i\mp 1} \neq \emptyset$ , then select  $p_i$  such that  $p_i$  is not the intersection point).
- 2: Calculate  $L_1 = \sum_{i=0}^{k-1} L_S(p_i, p_{i+1})$ ; and let  $L_0$  be 0.
- 3: **while**  $L_1 - L_0 \geq \varepsilon$  **do**
- 4:   **for** each  $i \in \{1, 2, \dots, k\}$  **do**
- 5:     Compute a point  $q_i \in S_i$  such that  
 $d_e(p_{i-1}, q_i) + d_e(q_i, p_{i+1}) = \min\{d_e(p_{i-1}, p) + d_e(p, p_{i+1}) : p \in S_i\}$
- 6:     **if**  $S_i \cap S_{i\mp 1} \neq \emptyset$  and  $q_i$  is the intersection point **then**
- 7:        $\varepsilon_2$ -transform  $q_i$  to be another point (still denoted by  $q_i$ ) in  $S_i$ .
- 8:     **end if**
- 9:     Update the path  $\langle p, p_1, p_2, \dots, p_k, q \rangle$  by replacing  $p_i$  by  $q_i$ .
- 10:   **end for**
- 11:   Let  $L_0$  be  $L_1$  and calculate  $L_1 = \sum_{i=0}^{k-1} L_S(p_i, p_{i+1})$ .
- 12: **end while**
- 13: Return  $\{p, p_1, p_2, \dots, p_k, q\}$ .

In other words, Algorithm 3 is modified from Algorithm 1 by adding Steps 6–8 in this pseudo code for handling the degenerate case. An informal specification of Algorithm 3 can also be obtained by modifying the informal specification of Algorithm 1 in Section 4.1 as follows:

For each updated point  $p_i$  in Equation (1), if it is the intersection point between  $S_i$  and  $S_{i-1}$  or  $S_{i+1}$ , then  $\varepsilon_2$ -transform  $p_i$  into another point.

We call

$$\{S_1, S_2, \dots, S_k\}$$

the *step set* of the rubberband algorithm, and each  $S_i$  is a *step element* of the rubberband algorithm, where  $i = 1, 2, \dots, k$ .

#### 4.4 Time Complexity

The time complexity of Algorithm 1 and Algorithm 3 can be analyzed as follows: The main computation occurs in two stacked loops. Each iteration of the inner for-loop runs in time  $\mathcal{O}(k)$ . In theory, the outer while-loop might take  $\kappa(\varepsilon) = \frac{L_0 - L}{\varepsilon}$  times, where  $L$  is the length of an optimal path,  $L_0$  is the length of an initial path. Thus, Algorithm 1 and Algorithm 3 will run in time  $\kappa(\varepsilon)\mathcal{O}(k)$ . We will see that  $\frac{L_0 - L}{\varepsilon}$  is usually too large to estimate  $\kappa(\varepsilon)$ . If we let  $L_m$  be the length of  $m$ -th updated path, where  $m = 1, 2, \dots$ , then we have

$$\frac{L_0 - L}{\varepsilon} = \frac{L_0 - L_1 + L_1 - L}{\varepsilon} > 1 + \frac{L_1 - L}{\varepsilon} > \dots > m + \frac{L_m - L}{\varepsilon}$$

As the sequence  $\{m + \frac{L_m - L}{\varepsilon}\}$  is monotonously decreasing and lower bounded by 0, it converges to  $\kappa(\varepsilon)$ .

*Note 2.* It is obvious that  $\kappa(\varepsilon)$  depends on the selection of initial path. By Theorem 3, we can take each vertex of the initial path as the center of each segment. Then  $\kappa(\varepsilon)$  only depends the chosen accuracy constant  $\varepsilon$ .

Algorithm 1 has been implemented and tested for a large number of various inputs. We let the chosen accuracy constant to be  $\varepsilon = 10^{-15}$ , and generated input for  $k = 5000$ ,  $k=10000$ , or  $k=20000$ .

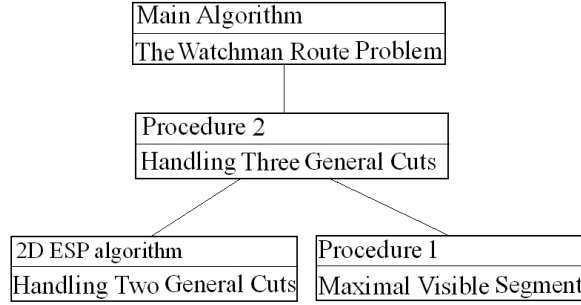
For each of those different numbers of segments, we were running the program several thousands of times. According to the resulting observations, the program often terminates after  $k$  iterations. These cases occurred at more than 90% of all inputs. So far, the worst case for all the tested inputs was  $7 \cdot 2k$  iterations, and worst cases in this order occurred at less than 0.01% of all inputs.

Based on these thousands of runs, we conclude that Algorithm 1 runs practically with  $\kappa(\varepsilon) = \mathcal{O}(k)$ , or, equivalently, in time  $\mathcal{O}(k^2)$ .

<i>min iterations</i>	2605	1522	2926
<i>max iterations</i>	3227	2741	7573
<i>min work time</i>	158.771sec	93.235sec	178.516sec
<i>max work time</i>	196.584sec	167.844sec	461.938sec
<i>min initial length</i>	3.32576e+006	3.33183e+006	3.33079e+006
<i>max initial length</i>	3.36785e+006	3.37652e+006	3.37889e+006
<i>min final length</i>	2085786.2964211311	2083340.4955095584	2068552.0753370232
<i>max final length</i>	2085786.2964214147	2083340.4955139237	2068552.0753745015

**Table 4.** Experiment results for three random configurations of 20000 line segments.

For example, Table 4 shows the results for three random configurations of 20000 line segments. Each column shows summary results corresponding to one configuration. For each configuration of line segments, we ran Algorithm 1 fifty times with 50 random initial paths for starting the program. The table shows that for each configuration, although the lengths of initial paths are different, the lengths of final paths are approximately identical.



**Fig. 8.** The main algorithm and the used procedures.

## 5 Solving the Fixed Watchman Route Problem

### 5.1 The Main Algorithm

In this section, we describe and discuss the algorithm for solving the fixed watchman route problem, thus (finally) arriving at the problem this article is aiming at to solve with reasonable run time.

The used procedures are shown in Figure 8 where the main algorithm is based on Procedure 2, which applies the 2D ESP algorithm, and Procedure 1. We present the used procedures first and the main algorithm at the end.

As described in Section 4.1, the main idea of an RBA is as follows: In each iteration, we update (by finding a local minimum or optimal vertex) the second vertex  $p_i$  for every three-subsequent-vertices subsequence  $p_{i-1}, p_i, p_{i+1}$  in the step set  $\{S_1, S_2, \dots, S_k\}$ . The first procedure below computes the maximal visible segment which is actually a step element of the used RBA. The second procedure is used for updating the vertex.

**Procedure 1** *Compute Maximal Visible Segment*

Input: Polygon  $\Pi$  and a general cut  $C$  of  $\Pi$ ; let  $v_1$  and  $v_2$  be two endpoints of  $C$ ; two points  $p$  and  $q$  such that  $p \in C$  and  $p$  is a visible point of  $q \in \partial\Pi \setminus C$ .

Output: Two points  $p'_1, p'_2 \in C$  such that  $p \in \text{segment } p'_1p'_2$ , and  $p'_1p'_2$  is the maximal visible segment of  $q$ .

At first we describe Procedure 1 informally as follows: In Case 1, we have that  $p$  is not an endpoint of  $C$ . For  $i \in \{1, 2\}$ , if  $q$  can see  $v_i$ , (see left, Figure 9), let  $p'_i = v_i$ ; otherwise, let  $V_i$  be the set of vertices in  $V(\partial\Pi)$  such that each vertex in  $V_i$  is inside of  $\triangle qp v_i$ . Apply the convex hull algorithm to compute  $C(V_i)$ . Apply the tangent algorithm to find a point  $p'_i \in C$  such that  $qp'_i$  is a tangent to  $C(V_i)$  (see right of Figure 9).

In Case 2, we have now that  $p$  is an endpoint of  $C$ . Without loss of generality, assume that  $p = v_1$ . Let  $p'_1 = p$ . Let  $V_2$  be the set of vertices in  $V(\partial\Pi)$  such that each vertex in  $V_2$  is inside of  $\triangle qp v_i$ . Apply the convex hull algorithm to

compute  $C(V_2)$ . Apply the tangent algorithm to find a point  $p'_2 \in C$  such that  $qp'_2$  is a tangent to  $C(V_2)$ .

The Pseudo code of Procedure 1 is also listed below:

```

1: if  $p \notin \partial\Pi$  (i.e.,  $p$  is not an endpoint of  $C$ ) then
2:   for  $i \in \{1, 2\}$  do
3:     if  $qv_i \cap \partial\Pi = \emptyset$  (i.e.,  $q$  can see  $v_i$ ) (see left of Figure 9) then
4:       Let  $p'_i = v_i$ .
5:     else
6:       Let  $V_i$  be the set of vertices in  $V(\partial\Pi)$  such that each vertex in  $V_i$  is
       inside of  $\triangle qpv_i$ .
7:       Apply the convex hull algorithm to compute  $C(V_i)$ .
8:       Apply the tangent algorithm to find a point  $p'_i \in C$  such that  $qp'_i$  is
       a tangent to  $C(V_i)$  (see right of Figure 9).
9:     end if
10:  end for
11: else
12:   Without loss of generality, assume that  $p = v_1$ . Let  $p'_1 = p$ .
13:   Proceed analogously as in Steps 4–8, but now for  $i = 2$ .
14: end if
15: Output  $p'_i$ ,  $i = 1, 2$ , and Stop.

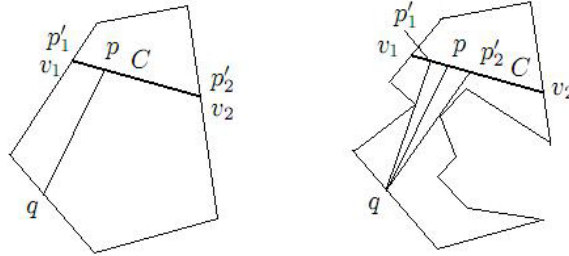
```

**Procedure 2** *Handling of Three General Cuts*

Input: Three general cuts  $C_1$ ,  $C_2$  and  $C_3$  of  $\Pi$ ; three points  $p_i \in C_i$ , where  $i = 1, 2, 3$ .

Output: An updated shorter path  $\rho(p_1, \dots, p_2, \dots, p_3)$ , which might also contain vertices of the polygon  $\Pi$ .

- 1: For both  $i \in \{1, 2\}$ , let  $\{p_i, p_{i+1}\}$  (where  $p_i \in C_i$ ) be the input for the 2D ESP algorithm; the output is a set  $V_{ii+1}$ . Let  $V = V_{12} \cup V_{23}$ .
- 2: Find  $q_1$  and  $q_3 \in V$  such that  $\langle q_1, p_2, q_3 \rangle$  is a subsequence of  $V$  (i.e.,  $q_1, p_2, q_3$  appear consecutively in  $V$ ; see Figure 10).
- 3: Apply Procedure 1 to find the maximal visible segment  $s_i$  of  $q_i$ ,  $i = 1, 3$ .



**Fig. 9.** Illustration for Procedure 1.

- 4: Find vertex  $p'_2 \in s_2 = s_1 \cap s_3$  (see Figure 11) such that

$$d_e(q_1, p'_2) + d_e(p'_2, q_3) = \min\{d_e(q_1, p') + d_e(p', q_3) : p' \in s_2\}$$

- 5: If  $C_2 \cap C_1$  (or  $C_3$ )  $\neq \emptyset$  and  $p'_2$  is the intersection point, then  $\varepsilon_2$ -transform  $p'_2$  into another point (still denoted by  $p'_2$ ) in  $C_2$ .  
 6: Update  $V$  by letting  $p_2 = p'_2$ .

We call the line segment  $s_2$  in Step 4 of Procedure 2 *associated to* the updated (optimal) point  $p_2$ .

Note that in Procedure 2, if  $C_1$  or  $C_3$  degenerates to a single point, then this procedure still works correctly.

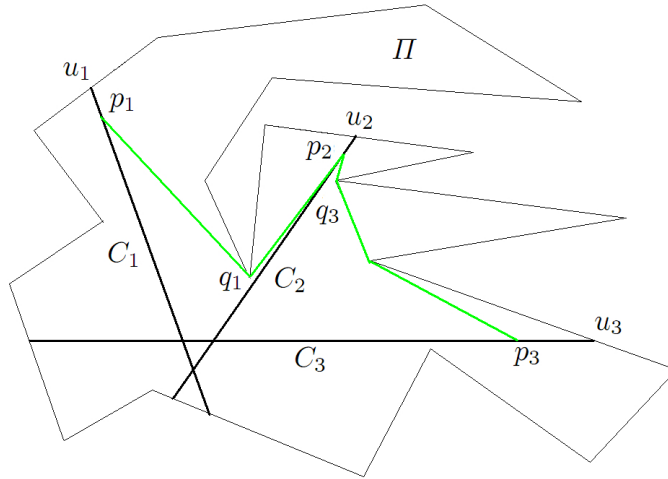
**Algorithm 4** *Main Algorithm for Solving the WRP*

Input: A degeneration accuracy constant  $\varepsilon_2 > 0$ ;  $k$  essential cuts  $C_0, C_1, \dots, C_{k-1}$ , and  $\Pi$ , which satisfy the condition of the WRP, and points  $p_i \in C_i$ , where  $i = 0, 1, 2, \dots, k-1$ .

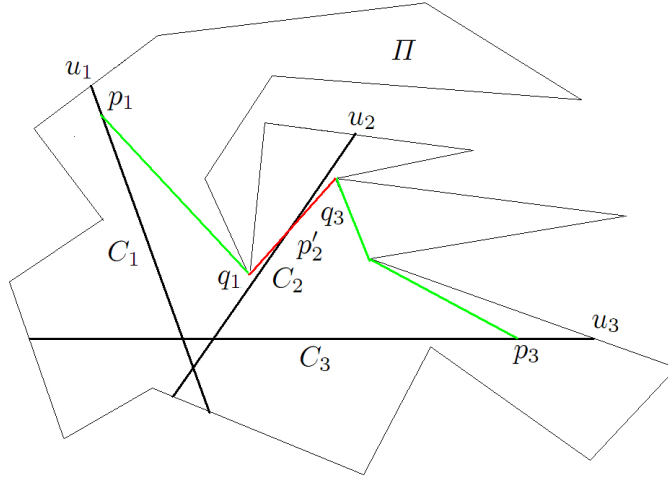
Output: An updated closed  $\{1 + 4k \cdot [r(\varepsilon) + \varepsilon_2]/L\}$ -approximation path (i.e., the “route”)  $\rho(p_0, \dots, p_1, \dots, p_{k-1})$ , which may also contain vertices of polygon  $\Pi$ .

The following pseudo code is fairly easy to read, and we defer from providing another (more informal) high level description of Algorithm 4.

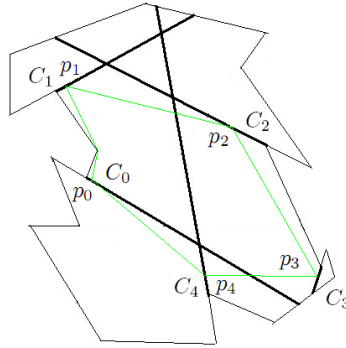
- 1: For each  $i \in \{0, 1, \dots, k-1\}$ , let  $p_i$  be the center of  $C_i$ .
- 2: Let  $V_0$  and  $V$  be  $\langle p_0, p_1, \dots, p_{k-1} \rangle$ ;  $L_1$  be  $\sum_{i=-1}^k L_\Pi(p_i, p_{i+1})$ ; and  $L_0$  be 0 ( $p_{-1} = p_k = s$ ).
- 3: **while**  $L_1 - L_0 \geq \varepsilon$  **do**



**Fig. 10.** Illustration for Step 2 of Procedure 2.



**Fig. 11.** Illustration for Step 4 of Procedure 2.



**Fig. 12.** Illustration for Step 1 of Algorithm 4.

- 4: **for** each  $i \in \{0, 1, \dots, k-1\}$  **do**
- 5:     Let  $C_{i-1}$ ,  $C_i$ ,  $C_{i+1}$ ,  $p_{i-1}$ ,  $p_i$ ,  $p_{i+1}$  and  $\Pi$  be the input for Procedure 2, which updates  $p_i$  in  $V_0$ . ( $C_{-1} = C_k = p_{-1} = p_k = s$ )
- 6:     Let  $U_i$  be the sequence of vertices of the path  $\rho(p_{i-1}, \dots, p_i, \dots, p_{i+1})$  with respect to  $C_{i-1}$ ,  $C_i$  and  $C_{i+1}$  (inside of  $\Pi$ ); let  $U_i$  be  $\langle q_1, q_2, \dots, q_m \rangle$ .
- 7:     Insert (after  $p_{i-1}$ ) the points of sequence  $U_i$  (in the given order) into  $V_0$ ; i.e., we have that  $V_1 = \langle p_0, p_1, \dots, p_{i-1}, q_1, q_2, \dots, q_m, p_{i+1}, \dots, p_{k-1} \rangle$ . (note: sequence  $V_1$  is the updated sequence  $V_0$ , after inserting  $U_i$ )
- 8: **end for**
- 9:     Calculate the perimeter  $L_1$  of the polygon, given by the sequence  $V_1$  of vertices.

- 10: Let  $L_0$  be  $L_1$  and  $V_0$  be  $V$  (note: we use the updated original sequence  $V$  instead of  $V_1$  for the next iteration).
- 11: **end while**
- 12: Output sequence  $V_1$ , and the desired length equals to  $L_1$ .

## 5.2 Correctness and Time Complexity

The following basic results of convex analysis may be found, for example, in [6, 44, 45]:

**Theorem 4.** ([45], Theorem 3.5) *Let  $S_1$  and  $S_2$  be convex sets in  $\mathbb{R}^m$  and  $\mathbb{R}^n$ , respectively. Then  $S_1 \times S_2$  is a convex set in  $\mathbb{R}^{m+n}$ , where  $m, n \in \mathbb{N}$ .*

**Proposition 2.** ([6], page 27) *Each line segment is a convex set.*

**Proposition 3.** ([6], page 72) *Each norm on  $\mathbb{R}^n$  is a convex function.*

**Proposition 4.** ([6], page 79) *A nonnegative weighted sum of convex functions is a convex function.*

**Proposition 5.** ([45], page 264) *Let  $f$  be a convex function. If  $x$  is a point where  $f$  has a finite local minimum, then  $x$  is a point where  $f$  has its global minimum.*

By Theorem 4 and Propositions 2–4, we have the following

**Corollary 6.**  $L_S(p, q): s_0 \times s_{k-1} \rightarrow \mathbb{R}$  *is a convex function.*

Let  $C_1, C_2$ , and  $\Pi$  satisfy the condition of the watchman route problem. By Corollary 6, we have the following

**Corollary 7.**  $L_\Pi(p, q): C_1 \times C_2 \rightarrow \mathbb{R}$  *is a convex function.*

Let  $s_i \subseteq C_i$  be the line segment associated to the final updated point  $p_i \in C_i$  in Algorithm 4, where  $i = 0, 1, 2, \dots, k-1$ . Analogous to Theorem 3, we have the following

**Theorem 5.** *If the chosen accuracy constant  $\varepsilon$  is sufficiently small, then Algorithm 4 outputs a unique  $\{1 + 4k \cdot [r(\varepsilon) + \varepsilon_2]/L\}$ -approximation (closed) path with respect to the step set  $\langle s_0, s_1, \dots, s_{k-1}, s_0 \rangle$ , for any initial path.*

Regarding the proof of correctness for Theorem 5, at first we define that Algorithm 1 is also called an *arc version* of an RBA. If we modify Algorithm 1 such that  $p$  and  $q$  are not specified by finding a shortest closed path which passes through line segments  $\langle S_1, S_2, \dots, S_k, S_1 \rangle$  in order, then we obtain the *curve version* of Algorithm 1.

Basically, following the same way as demonstrated with the proof of Theorem 3, we can prove that the curve version of Algorithm 1 outputs a closed  $\{1 + 4k \cdot [r(\varepsilon) + \varepsilon_2]/L\}$ -approximation path. Thus, Algorithm 4 defines a closed  $\{1 + 4k \cdot [r(\varepsilon) + \varepsilon_2]/L\}$ -approximation path to the step set  $\langle s_0, s_1, \dots, s_{k-1}, s_0 \rangle$ , but we will not provide the proof here due to given similarities and available space. Theorem 5 indicates that Algorithm 4 outputs an approximate local minimal solution to the fixed WRP, then we have the following

**Theorem 6.** *Algorithm 4 outputs an  $\{1 + 4k \cdot \lceil r(\varepsilon) + \varepsilon_2 \rceil / L\}$ -approximation solution to the fixed WRP.*

*Proof.* By Corollary 7,

$$\sum_{i=0}^{k-1} L_{\Pi}(p_i, p_{i+1}) : \prod_{i=0}^{k-1} C_i \rightarrow \mathbb{R}$$

is a convex function, where

$$L_{\Pi}(p_i, p_{i+1})$$

is defined as in Step 2 of Algorithm 4. By Proposition 5 and Theorem 5, we have proved the theorem.  $\square$

Regarding the time complexity of our solution to the fixed WRP, we first state two corollaries (where proofs are mathematically very trivial and are skipped here for this reason);

**Corollary 8.** *Procedure 1 can be computed in time  $\mathcal{O}(|V(\partial\Pi)|)$ .*

**Corollary 9.** *Procedure 2 can be computed in time  $\mathcal{O}(|V(\partial\Pi)|)$ .*

Furthermore, note that the main computation is in the two stacked loops. The while-loop takes  $\kappa(\varepsilon)$  iterations. By Corollary 9, the for-loop can be computed in time  $\mathcal{O}(k \cdot |V(\partial\Pi)|)$ . Thus,

**Corollary 10.** *Algorithm 4 can be computed in time  $\kappa(\varepsilon) \cdot \mathcal{O}(k \cdot |V(\partial\Pi)|)$ .*

By Lemma 1, Theorem 2, and Corollary 10, we have the following

**Theorem 7.** *This report provided an  $\{1 + 4k \cdot \lceil r(\varepsilon) + \varepsilon_2 \rceil / L\}$ -approximation solution to the fixed WRP, having time complexity  $\kappa(\varepsilon) \cdot \mathcal{O}(k|V(\partial\Pi)|)$ , where  $k$  is the number of essential cuts, and  $L$  is the length of an optimal watchman route.*

## 6 Concluding Remarks

In the report, we have recalled the basic idea of the first RBA, which was proposed in digital geometry [9, 30]. We refined this useful idea such that it becomes a simple “arc” version of an RBA; see Algorithm 1. This report provides a simple and efficient way for solving a system formed by partial differential Equations (5). Algorithm 1 runs in time  $\kappa(\varepsilon) \cdot \mathcal{O}(k)$ , while the solution proposed by [46] has a time complexity which is doubly exponential in  $k$ . The basic idea of a RBA might be generalized to establish a whole class of rubberband algorithms (RBAs) for solving various Euclidean shortest path problems. The main algorithm of the report (Algorithm 4) is just an example for such RBAs. As indicated in Note 1, in distinction to already published approximation algorithms, our algorithm offers a high accuracy. In some simple polygons, we can find exact solutions to



the fixed WRP. A large number of experimental results indicate that  $\kappa(\varepsilon) = \mathcal{O}(k)$ , where  $k$  is the number of essential cuts. However, it might be a challenge to find the least upper bound for  $\kappa(\varepsilon)$ . Our algorithm is not only faster but also significantly simpler, easier to understand and to implement than already published algorithms for solving the fixed WRP.

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